

TITLE OF THE INVENTIONMETHOD OF INDUCING AN ENHANCED IMMUNE RESPONSE AGAINST HIVCROSS-REFERENCE TO RELATED APPLICATIONS

- 5 The present application claims priority to provisional applications U.S. Serial Nos. 60/363,870 and 60/392,581, filed March 13, 2002 and June 27, 2002, respectively, hereby incorporated by reference.

STATEMENT REGARDING FEDERALLY-SPONSORED R&D

- 10 Not Applicable

REFERENCE TO MICROFICHE APPENDIX

Not Applicable

15 FIELD OF THE INVENTION

- The present invention relates to an enhanced means for inducing an immune response against human immunodeficiency virus ("HIV") utilizing recombinant adenoviral and poxvirus vectors comprising exogenous genetic material encoding an HIV antigen in a heterologous prime-boost administration in the order specified.
- 20 Applicants have found that the poxvirus administration in this scheme very effectively boosts the adenovirus-primed immune response against HIV. Viruses of use in the instant invention can be any adenovirus or poxvirus, provided that the specific virus utilized is capable of effecting expression of exogenous genetic material introduced into the viral sequence. It is, further, imperative that the virus be replication-
- 25 defective, host restricted, or modified such that the virus does not freely replicate within the cells of a treated mammalian host. Specific embodiments of the instant invention employ an adenovirus vehicle which is replication-defective and specifically devoid of E1 activity in the priming administration. Further specific embodiments of the instant invention employ modified vaccinia viruses (such as
- 30 Modified Vaccinia Virus Ankara ("MVA"), or NYVAC, a highly attenuated strain of vaccinia virus) in the boosting administration. Alternative embodiments employ, for instance, a poxvirus selected from the group consisting of canarypoxviruses (such as ALVAC), other fowlpoxviruses and cowpoxviruses. Applicants have found that administration of a recombinant adenoviral vehicle comprising exogenous genetic

material encoding an antigen (specifically, an HIV antigen) followed by subsequent administration of recombinant poxvirus comprising the antigen notably amplifies the response from the initial administration(s) over and above that observed when the antigen is delivered via the recombinant adenoviral or poxviruses independently for both priming and boosting administrations, hence, offering an enhanced immune response. The effective boosting of the adenovirus-primed immune response with poxvirus leads to a significantly enhanced immune response capable of specifically recognizing HIV which is particularly manifest in the cellular immune response. Based on the above findings, it is believed that the disclosed prime/boost regime will offer a prophylactic advantage to previously uninfected individuals and/or provide a therapeutic effect by reducing viral load levels within an infected individual, thus prolonging the asymptomatic phase of HIV-1 infection.

BACKGROUND OF THE INVENTION

Human Immunodeficiency Virus-1 (HIV-1) is the etiological agent of acquired human immune deficiency syndrome (AIDS) and related disorders. HIV-1 is an RNA virus of the Retroviridae family and exhibits the 5' LTR-*gag-pol-env*-LTR 3' organization of all retroviruses. The integrated form of HIV-1, known as the provirus, is approximately 9.8 Kb in length. Each end of the viral genome contains flanking sequences known as long terminal repeats (LTRs). The HIV genes encode at least nine proteins and are divided into three classes; the major structural proteins (Gag, Pol, and Env), the regulatory proteins (Tat and Rev); and the accessory proteins (Vpu, Vpr, Vif and Nef).

Effective treatment regimes for HIV-1 infected individuals have become available. However, these drugs will not have a significant impact on the disease in many parts of the world and they will have a minimal impact in halting the spread of infection within the human population. As is true of many other infectious diseases, a significant epidemiologic impact on the spread of HIV-1 infection will only occur subsequent to the development and introduction of an effective vaccine. There are a number of factors that have contributed to the lack of successful vaccine development to date. For instance, it is now apparent that in a chronically infected person there exists constant virus production in spite of the presence of anti-HIV-1 humoral and cellular immune responses and destruction of virally infected cells. As in the case of other infectious diseases, the outcome of disease is the result of a balance between the

kinetics and the magnitude of the immune response and the pathogen replicative rate and accessibility to the immune response. Pre-existing immunity may be more successful with an acute infection than an evolving immune response can be with an established infection. A second factor is the considerable genetic variability of the virus. Although anti-HIV-1 antibodies exist that can neutralize HIV-1 infectivity in cell culture, these antibodies are generally virus isolate-specific in their activity. It has proven impossible to define serological groupings of HIV-1 using traditional methods. Rather, the virus seems to define a serological "continuum" so that individual neutralizing antibody responses, at best, are effective against only a handful of viral variants. Given this latter observation, it would be useful to identify immunogens and related delivery technologies that are likely to elicit anti-HIV-1 cellular immune responses. It is known that in order to generate CTL responses antigen must be synthesized within or introduced into cells, subsequently processed into small peptides by the proteasome complex, and translocated into the endoplasmic reticulum/Golgi complex secretory pathway for eventual association with major histocompatibility complex (MHC) class I proteins. CD8⁺ T lymphocytes recognize antigen in association with class I MHC via the T cell receptor (TCR) and the CD8 cell surface protein. Activation of naive CD8⁺ T cells into activated effector or memory cells generally requires both TCR engagement of antigen as described above as well as engagement of costimulatory proteins. Optimal induction of CTL responses usually requires "help" in the form of cytokines from CD4⁺ T lymphocytes which recognize antigen associated with MHC class II molecules via TCR and CD4 engagement.

Adenoviral vectors have been developed as live viral vectors for delivery and expression of various foreign antigens including HIV and have proven to be effective in eliciting a CTL response in treated individuals. Adenoviruses are non-enveloped viruses containing a linear double-stranded genome of about 36 kb. The vectors achieve high viral titres, have a broad cell tropism, and can infect nondividing cells. Adenoviral vectors are very efficient gene transfer vehicles and are frequently used in clinical gene therapy studies. In addition, adenovirus has formed the basis of many promising viral immunization protocols.

European Patent Applications 0 638 316 (Published February 15, 1995) and 0 586 076 (Published March 9, 1994), (both assigned to American Home Products Corporation) describe replicating adenovirus vectors carrying an HIV gene, including

env or *gag*. Various treatment regimes based on these vectors were used with chimpanzees and dogs, some of which included booster adenovirus or protein plus alum treatments.

Replication-defective adenoviral vectors harboring deletions, for instance, in the E1 region constitute a safer alternative to their replicating counterparts. Recent adenoviral vectors have incorporated the known packaging repeats into these vectors; e.g., see EP 0 707 071, disclosing, *inter alia*, an adenoviral vector deleted of E1 sequences from base pairs 459 to 3328; and U.S. Patent No. 6,033,908, disclosing, *inter alia*, an adenoviral vector deleted of base pairs 459-3510. The packaging efficiency of adenovirus has been taught to depend on the number of incorporated individual A (packaging) repeats; see, e.g., Gräble and Hearing, 1990 *J. Virol.* 64(5):2047-2056; Gräble and Hearing, 1992 *J. Virol.* 66(2):723-731.

Vaccinia virus and other poxviruses (e.g., avipoxviruses) have been disclosed as promising vaccine candidates for their demonstrated high-level expression of proteins and have been considered recently for the delivery and expression of HIV antigens. Poxviruses are large, enveloped viruses with double-stranded DNA that is covalently closed at the ends. These viruses possess a high insertion capacity for multiple foreign genes and obtain high level cytoplasmic expression of exogenous foreign genetic material. Their use as vaccines has been known since the early 1980's; see, e.g., Panicali *et al.*, 1983 *Proc. Natl. Acad. Sci. USA* 80:5364-5368. Live recombinant vaccines have been tested in clinical trials using recombinant vaccinia virus or canarypoxvirus for expression of the HIV-1 envelope, and the major Epstein-Barr virus membrane glycoprotein or the rabies virus glycoprotein for the induction of immune responses; e.g., Paoletti, 1996 *Proc. Natl. Acad. Sci. USA* 93:11349-53; Gu *et al.*, 1995 *Dev. Biol. Stand.* 84:171-7; and Fries *et al.*, 1996 *Vaccine* 14:428-34.

Administration protocols employing viral vaccine vectors to date have employed various prime-boost inoculation schemes. Two general schemes frequently used are: (1) wherein both priming and boosting of the mammalian host is accomplished using the same virus vehicle, and (2) wherein the priming and boosting is carried out utilizing different vehicles not necessarily limited to virus vehicles. Examples of the latter are, for instance, a scheme composed of a DNA prime and viral boost, and one composed of a viral prime and a viral boost wherein alternate virus are used. Recently, a prime-boost regime of the latter scheme employing a combination of two of the above viruses, adenovirus and poxvirus, in varying order (*i.e.*,

adenovirus-prime, poxvirus-boost; and poxvirus-prime, adenovirus-boost) was utilized to effect the delivery and expression of the CS gene of *Plasmodium berghei* (Ad-PbCS) to mice; Gilbert *et al.*, 2002 *Vaccine* 20:1039-45. This strategy was disclosed to be protective in mice against malaria; *see, e.g.*, Gilbert *et al.*, 2002
5 *Vaccine* 20:1039-45.

It would be of great import in the battle against AIDS to develop a prophylactic- and/or therapeutic-based HIV vaccine strategy capable of generating a strong cellular immune response against HIV infection. The present invention addresses and meets these needs by disclosing a heterologous prime-boost HIV
10 immunization regime based on the administration of recombinant adenoviral and poxvirus vectors comprising exogenous genetic material encoding a common HIV antigen. The specific prime-boost vaccination regime is one wherein an individual is primed with the recombinant adenoviral vector and then provided a boosting dose of the recombinant poxvirus vector. A vaccine protocol in accords with this description,
15 as far as Applicants are aware, has not been demonstrated for HIV. This vaccine prime-boost regime may be administered to a host, such as a human.

SUMMARY OF THE INVENTION

The present invention relates to an enhanced method for generating an
20 immune response against human immunodeficiency virus ("HIV"). The method is based on the heterologous prime-boost administration of recombinant adenoviral and poxvirus vectors comprising heterologous genetic material encoding an HIV antigen to effect a more pronounced immune response against HIV than that which can be obtained by either vector independently in a single modality prime-boost
25 immunization scheme. A mammalian host is first administered a priming dose of adenovirus comprising a gene encoding the HIV antigen and, following some period of time, administered a boosting dose of poxvirus carrying the gene encoding the HIV antigen. There may be a predetermined minimum amount of time separating the administrations, which time essentially allows for an immunological rest. In
30 particular embodiments, this rest is for a period of at least 4 months. Multiple primings typically, 1-4, are usually employed, although more may be used. The length of time between priming and boost may typically vary from about four months to a year, but other time frames may be used. Applicants have found that boosting of the adenovirus-primed response with poxvirus in this manner leads to a notably

amplified immune response to the HIV antigen. Thus the instant invention relates to the administration of adenovirus and poxvirus HIV vaccines in this manner.

Accordingly, the instant invention relates to a method for inducing an enhanced immunological response against an HIV-1 antigen in a mammalian host comprising the steps of (a) inoculating the mammalian host with a recombinant adenoviral vector at least partially deleted in E1 and devoid of E1 activity comprising a gene encoding an HIV-1 antigen or immunologically relevant modification thereof; and thereafter (b) inoculating the mammalian host with a boosting immunization comprising a recombinant poxvirus vector comprising a gene encoding an HIV-1 antigen or immunologically relevant modification thereof.

The adenoviral and poxvirus vectors utilized in the immunization regimes of the present invention may comprise any replication-defective adenoviral vector and any replication-defective, replication-impaired or host-restricted poxvirus vector which is genetically stable through large scale production and purification of the virus. In other words, recombinant adenoviral and poxvirus vectors suitable for use in the methods of the instant invention can be any purified recombinant replication-defective, replication-impaired or host-restricted virus shown to be genetically stable through multiple passages in cell culture which remains so during large scale production and purification procedures. Such a recombinant virus vector and harvested virus vaccine lends itself to large scale dose filling and subsequent worldwide distribution procedures which will be demanded of an efficacious monovalent or multivalent HIV vaccine. The present invention meets this basic requirement with description of an immunization regime which is based on the use of recombinant replication-defective adenovirus and poxvirus vectors of decreased virulence.

Poxviruses have been the subject of various genetic engineering efforts designed to reduce the virulence of the virus. For instance, efforts with vaccinia virus targeted the viral thymidine kinase, growth factor, hemagglutinin, 13.8 kD secreted protein and ribonucleotide reductase genes; *see Buller et al.*, 1985 *Nature* 317(6040):813-815; Buller *et al.*, 1988 *J. Virol.* 62(3):866-74; Flexner *et al.*, 1987 *Nature* 330(6145):259-62; Shida *et al.*, 1988 *J. Virol.* 62(12):4474-80; Kotwal *et al.*, 1989 *Virology* 171(2):579-87; and Child *et al.*, 1990 *Virology* 174(2):625-9. Modified vaccinia viruses form the subject of, *inter alia*, U.S. Patent Nos. 5,185,146; 5,110,587; 4,722,848; 4,769,330; 5,110,587; and 4,603,112. Avipoxviruses also are

of interest as they possess a limited host range and, therefore, do not freely replicate in human cells. Recombinant avipoxviruses are the subject of, *inter alia*, U.S. Patent Nos. 5,505,941; 5,174,993; 5,942,235; 5,863,542; and 5,174,993. U.S. Patent No. 5,266,313 discloses a raccoon poxvirus-based vaccine for rabies virus. The poxvirus vector of choice is administered to boost the immune response activated by the prior administration of an adenovirus vehicle carrying an HIV transgene.

Adenoviral vectors of use in the instant invention are those that are at least partially deleted in E1 and devoid of E1 activity. Vectors in accordance with this description can be readily propagated in E1-complementing cell lines, such as PER.C6® cells.

The recombinant adenoviral and poxvirus vectors of use in the instant application comprise a gene encoding an HIV antigen. In specific embodiments, the gene encoding the HIV antigen or immunologically relevant modification thereof comprises codons optimized for expression in a mammalian host (*e.g.*, a human). In preferred embodiments, the adenoviral and/or poxvirus vectors comprise a gene expression cassette comprising (a) a nucleic acid encoding an HIV antigen (*e.g.*, an HIV protein) or biologically active and/or immunologically relevant portion/modification thereof; (b) a heterologous (non-native) or modified native promoter operatively linked to the nucleic acid of part a); and, (c) a transcription termination sequence; provided that any promoter utilized to drive expression of the nucleic acid included within the gene expression cassette for the recombinant poxvirus vector is either native to, or derived from, the poxvirus of interest or another poxvirus member. Naturally occurring, nonoverlapping, tandem early/late promoters of moderate strength have been described for vaccinia virus (*see, e.g.*, Cochran, *et al.*, 1985 *J. Virol.* 54:30-37; and Rosel *et al.*, 1986 *J. Virol.* 60:436-9) and have been used for gene expression.. An example of a modified native promoter is the synthetic early/late promoter of Example 2, previously described in Chakrabarti *et al.*, 1997 *BioTechniques* 23(6):1094-97. A heterologous promoter can be any promoter under the sun (modified or not) which is not native to, or derived from, the virus in which it will be used. Preferably, the gene expression cassette used within the recombinant poxvirus comprises (a) a nucleic acid encoding an HIV antigen (*e.g.*, an HIV protein) or biologically active and/or immunologically relevant portion/modification thereof; and (b) a heterologous promoter (from another poxvirus species) or a promoter which is native to or derived from the poxvirus of interest.

HIV antigens of use in the instant invention include the various HIV proteins, immunologically relevant modifications, and immunogenic portions thereof. The present invention, thus, encompasses the various forms of codon-optimized HIV-1 gag (including but by no means limited to p55 versions of codon-optimized full length ("FL") Gag and tPA-Gag fusion proteins), HIV-1 pol, HIV-1 nef, HIV env, fusions of the above constructs, and selected modifications of the above possessing immunological relevance. Examples of HIV-1 Gag, Pol, Env, and/or Nef fusion proteins include but are not limited to fusion of a leader or signal peptide at the NH₂-terminal portion of the viral antigen coding region. Such a leader peptide includes but is not limited to a tPA leader peptide.

Recombinant viral vectors in accordance with the instant disclosure form an aspect of the instant invention. Other aspects of the instant invention are host cells comprising said adenoviral and/or pox virus vectors; vaccine compositions comprising said vectors; and methods of producing the vectors comprising (a) introducing the adenoviral and/or pox virus vector into a host cell, and (b) harvesting the resultant vectors.

The present invention also relates to prime-boost regimes wherein the recombinant adenoviral and poxvirus vectors comprise various combinations of the above HIV antigens. Such HIV immunization regimes will provide for an enhanced cellular immune response subsequent to host administration, particularly given the genetic diversity of human MHCs and of circulating virus. Examples, but not limitations, include viral vector-based multivalent vaccine compositions which provide for a divalent (*e.g.*, gag and nef, gag and pol, or pol and nef components) or a trivalent vaccine (*e.g.*, gag, pol and nef components) composition. Such a multivalent vaccine may be filled for a single dose or may consist of multiple inoculations of each individually filled component. To this end, preferred vaccine compositions for use within the instant methods are adenovirus and poxvirus vectors comprising multiple, distinct HIV antigen classes. Each HIV antigen class is subject to sequence manipulation, thus providing for a multitude of potential vaccine combinations; and such combinations are within the scope of the present invention. The utilization of such combined modalities increase the probability of eliciting an even more potent cellular immune response when compared to inoculation with a single modality regime.

The concept of a "combined modality" as disclosed herein also covers the alternative mode of administration whereby multiple HIV-1 viral antigens may be ligated into a proper shuttle plasmid for generation of a recombinant viral vector comprising multiple open reading frames. For example, a trivalent vector may
5 comprise a gag-pol-nef fusion, or possibly a "2+1" divalent vaccine comprising, for instance, a gag-pol fusion (*e.g.*, codon optimized p55 gag and inactivated optimized pol) within the same backbone, with each open reading frame being operatively linked to a distinct promoter and transcription termination sequence. Alternatively, the two open reading frames may be operatively linked to a single promoter, with the
10 open reading frames operatively linked by an internal ribosome entry sequence (IRES).

Administration of the recombinant adenoviral and poxvirus vectors via the disclosed heterologous means provides for improved cellular-mediated immune responses; responses that are more pronounced than that afforded by single modality
15 regimes. An effect of the improved vaccine (adenoviral HIV prime and poxvirus HIV boost) should be a lower transmission rate to previously uninfected individuals (*i.e.*, prophylactic applications) and/or reduction in the levels of the viral loads within an infected individual (*i.e.*, therapeutic applications), so as to prolong the asymptomatic phase of HIV-1 infection. The administration, intracellular delivery and expression of
20 the vaccine in this manner elicits a host CTL and Th response. The individual vaccinee or mammalian host (as referred to herein) can be a primate (both human and non-human) as well as any non-human mammal of commercial or domestic veterinary importance.

In light hereof, the present invention relates to methodology regarding
25 administration of the adenoviral and poxvirus vaccines to provide effective immunoprophylaxis, to prevent establishment of an HIV-1 infection following exposure to this virus, or as a post-HIV infection therapeutic vaccine to mitigate the acute HIV-1 infection so as to result in the establishment of a lower virus load with beneficial long term consequences. Such treatment regimes may include a
30 monovalent or multivalent composition, and/or various combined modality applications. Therefore, the present invention provides for methods of using the disclosed HIV vaccine administration scheme within the various parameters disclosed herein as well as any additional parameters known in the art which, upon introduction

into mammalian tissue, induces intracellular expression of the HIV antigen(s) and an effective immune response to the respective HIV antigen(s).

To this end, the present invention relates in part to methods of generating a cellular immune response in a vaccinee, preferably a human vaccinee, wherein the individual is given the recombinant adenovirus and poxvirus HIV vaccines in accordance with the disclosed heterologous prime-boost immunization regime.

As used throughout the specification and claims, the following definitions and abbreviations are used:

"HAART" refers to -- highly active antiretroviral therapy --.

"first generation" vectors are characterized as being replication-defective. They typically have a deleted or inactivated E1 gene region, and often have a deleted or inactivated E3 gene region as well.

"AEX" refers to Anion Exchange chromatography.

"QPA" refers to Quick PCR-based Potency Assay.

"bps" refers to base pairs.

"s" or "str" denotes that the transgene is in the E1 parallel or "straight" orientation.

"PBMCs" refers to peripheral blood monocyte cells.

"FL" refers to full length.

"FLgag" refers to a full-length optimized gag gene, as shown in Figure 2.

"Ad5-FLgag" refers to an adenovirus serotype 5 replication-deficient virus which carries an expression cassette which comprises a full length optimized gag gene under the control of a CMV promoter.

"Promoter" means a recognition site on a DNA strand to which an RNA polymerase binds. The promoter forms an initiation complex with RNA polymerase to initiate and drive transcriptional activity. The complex can be modified by activating sequences such as enhancers or inhibiting sequences such as silencers.

"Leader" means a DNA sequence at the 5' end of a structural gene which is transcribed along with the gene. This usually results in a protein having an N-terminal peptide extension, often referred to as a pro-sequence.

"Intron" means a section of DNA occurring in the middle of a gene which does not code for an amino acid in the gene product. The precursor RNA of the intron is excised and therefore not transcribed into mRNA or translated into protein.

"Immunologically relevant" or "biologically active," when used in the context of a viral protein, means that the protein is capable, upon administration, of eliciting a measurable immune response within an individual sufficient to retard the propagation and/or spread of the virus and/or to reduce the viral load present within the individual.

- 5 The same terms, when used in the context of a nucleotide sequence, means that the sequence is capable of encoding for a protein capable of the above.

"Cassette" refers to a nucleic acid sequence which is to be expressed, along with its transcription and translational control sequences. By changing the cassette, a vector can express a different sequence.

- 10 "bGHpA" refers to a bovine growth hormone transcription terminator/polyadenylation sequence.

"tPAgag" refers to a fusion between the tissue plasminogen activator leader sequence and an optimized HIV gag gene.

- 15 Where utilized, "IA" or "inact" refers to an inactivated version of a gene (e.g. IAPol).

"MCS" is "multiple cloning site".

In general, adenoviral constructs, gene constructs are named by reference to the genes contained therein. For example:

- 20 "Ad5 HIV-1 gag", also referred to as the original HIV-1 gag adenoviral vector, is a vector containing a transgene cassette composed of a hCMV intron A promoter, the full length version of the human codon-optimized HIV-1 gag gene, and the bovine growth hormone polyadenylation signal.

- 25 "MRK Ad5 HIV-1 gag" also referred to as "MRKAd5gag" or "Ad5gag2" is an adenoviral vector which is deleted of E1, and contains adenoviral base pairs 1-450 and 3511-3523, with a human codon-optimized HIV-1 gag gene in an E1 parallel orientation under the control of a CMV promoter without intron A. The construct also comprises a bovine growth hormone polyadenylation signal.

- 30 "pV1JnsHIVgag", also referred to as "HIVFLgagPR9901", is a plasmid comprising the CMV immediate-early (IE) promoter and intron A, a full-length codon-optimized HIV gag gene, a bovine growth hormone-derived polyadenylation and transcriptional termination sequence, and a minimal pUC backbone.

"pV1JnsCMV(no intron)-FLgag-bGHpA" is a plasmid derived from pV1JnsHIVgag which is deleted of the intron A portion of CMV and which comprises the full length HIV gag gene. This plasmid is also referred to as "pV1JnsHIVgag-

bGHpA", pV1Jns-hCMV-FL-gag-bGHpA" and "pV1JnsCMV(no intron) + FLgag + bGHpA".

5 "pV1JnsCMV(no intron)-FLgag-SPA" is a plasmid of the same composition as pV1JnsCMV(no intron)-FLgag-bGHpA except that the SPA termination sequence replaces that of bGHpA. This plasmid is also referred to as "pV1Jns-HIVgag-SPA" and pV1Jns-hCMV-FLgag-SPA".

10 "pdeIE1sp1A" is a universal shuttle vector with no expression cassette (i.e., no promoter or polyA). The vector comprises wildtype adenovirus serotype 5 (Ad5) sequences from bp 1 to bp 341 and bp 3524 to bp 5798, and has a multiple cloning site between the Ad5 sequences ending 341 bp and beginning 3524 bp. This plasmid is also referred to as the original Ad 5 shuttle vector.

"MRKpdeIE1sp1A" or "MRKpdeIE1(Pac/pIX/pack450)" or "MRKpdeIE1(Pac/pIX/pack450)Cla1" is a universal shuttle vector with no expression cassette (i.e. no promoter or polyA) comprising wildtype adenovirus serotype 5 (Ad5) 15 sequences from bp 1 to bp 450 and bp 3511 to bp 5798. The vector has a multiple cloning site between the Ad5 sequence ending 450 bp and beginning 3511 bp. This shuttle vector may be used to insert the CMV promoter and the bGHpA fragments in both the straight ("str". or E1 parallel) orientation or in the opposite (opp. or E1 antiparallel) orientation.

20 "MRKpdeIE1(Pac/pIX/pack450)+CMVmin+BGHpA(str.)" is still another shuttle vector which is the modified vector that contains the CMV promoter (no intron A) and the bGHpA fragments. The expression unit containing the hCMV promoter (no intron A) and the bovine growth hormone polyadenylation signal has been inserted into the shuttle vector such that insertion of the gene of choice at a unique 25 *Bgl*III site will ensure the direction of transcription of the transgene will be Ad5 E1 parallel when inserted into the MRKpAd5(E1/E3+)Cla1 pre-plasmid.

"MRKpdeIE1-CMV(no intron)-FLgag-bGHpA" is a shuttle comprising Ad5 sequences from base pairs 1-450 and 3511-5798, with an expression cassette containing human CMV without intron A, the full-length human codon-optimized HIV gag gene and bovine growth hormone polyadenylation signal. This plasmid is 30 also referred to as "MRKpdeIE1 shuttle +hCMV-FL-gag-BGHpA".

"MRKpAdHVE3+CMV(no intron)-FLgag-bGHpA" is an adenoviral vector comprising all Ad5 sequences except those nucleotides encompassing the E1 region (from 451-3510), a human CMV promoter without intron A, a full-length human

codon-optimized HIV gag gene, and a bovine growth hormone polyadenylation signal. This vector is also referred to as "MRKpAdHVE3 + hCMV-FL-gag-BGHpA", "MRKpAd5HIV-1gag", "MRKpAd5gag", "pMRKAd5gag" or "pAd5gag2".

5

BRIEF DESCRIPTION OF THE FIGURES

Figure 1 shows the HIV-1 gag adenovector "Ad5HIV-1gag". This vector is disclosed in PCT International Application No. PCT/US00/18332 (WO 01/02607) filed July 3, 2000, claiming priority to U.S. Provisional Application Serial No. 60/142,631, filed July 6, 1999, and U.S. Application Serial No. 60/148,981, filed August 13, 1999, all three applications which are hereby incorporated by reference.

10

Figure 2 shows the nucleic acid sequence (SEQ ID NO: 1) of the optimized human HIV-1 gag open reading frame.

Figure 3 shows diagrammatically the transgene construct disclosed in PCT International Application No. PCT/US01/28861, filed September 14, 2001 in comparison with the original gag transgene. PCT International Application No. PCT/US01/28861 claims priority to U.S. Provisional Application Serial Nos. 60/233,180, 60/279,056, and 60/317,814, filed September 15, 2000, March 27, 2001, and September 7, 2001, respectively; the above applications all of which are hereby incorporated by reference.

15

20

Figure 4 shows the modifications made to the adenovector backbone of Ad5HIV-1gag in the generation of the vector disclosed in PCT International Application No. PCT/US01/28861 which is utilized in certain examples of the instant application.

25

30

Figure 5 shows the levels of Gag-specific T cells in rhesus macaques immunized with (a) two priming doses of 10e9 vp of MRKAd5 HIV-1 gag and a single booster shot with 10e9 vp MRKAd5 HIV-1 gag ("10e9 vp MRKAd5-10e9 vp MRKAd5"); (b) two priming doses of 10e9 pfu MVA HIV-1 gag and a single booster with 10e9 pfu MVA HIV-1 gag ("10e9 pfu MVA-10e9 pfu MVA"); or (c) two priming doses of 10e9 vp of MRKAd5 HIV-1 gag followed by a single booster shot with 10e9 pfu MVA HIV-1 gag ("10e9 vp MRKAd5-10e9 pfu MVA"). The levels expressed as number of spot-forming cells (SFC) per million PBMC are the mock-corrected values for each animal prior to the start of the immunization regimen ("Pre"); 4 weeks after the first priming dose ("Post Dose 1"); 4 weeks after the second

priming dose ("Post Dose 2"); just prior to the boost ("Pre-Boost"); 4 weeks after the boost ("4 wks Post-Boost"); and 8 weeks after the boost ("8 wks Post-Boost"). For #99D241, data at 4 weeks post boost were unavailable (NA) because of poor PBMC yields.

5 Figure 6 shows the Gag-specific T cell responses induced by two priming doses of 10^7 vp dose of MRKAd5 HIV-1 gag (week 0; week 4) followed by administration of 10^7 vp MVA HIV-1 gag at week 27. The levels provided are the mock-corrected levels for each animal prior to the start of the immunization regimen ("Pre"); 4 weeks after the first priming dose ("Post Dose 1"); 4 weeks after the second
10 priming dose ("Post Dose 2"); just prior to the boost ("Pre-Boost"); 4 weeks after the boost ("4 wk Post-Boost"); and 8 weeks after the boost ("8 wk Post-Boost"). One will note a significant increase compared to the levels just prior to the boost. MVA-HIVgag elicited a large amplification of the priming response, with levels reaching as high as 1000 SFC/ 10^6 PBMCs. Because the dose of MVA used as a booster shot
15 induced weak or undetectable immune response in naïve animals (see Figure 5), the post-boost increases shown is largely attributed to the expansion of memory T cells instead of priming of new lymphocytes.

Figure 7 shows ELISPOT responses in BALB/c mice immunized with (1) one dose of 5×10^8 vp Ad5 HIV-1 gag ("Ad5 prime-no boost"), (2) one dose of 5×10^8
20 vp Ad5 HIV-1 gag followed by one dose of 5×10^6 pfu vaccinia-gag ("Ad5 prime-Vacc Boost"), or (3) one dose of 5×10^6 pfu vaccinia-gag ("Vacc prime-no boost"); Ad5-gag being the original gag vector discussed throughout the specification. The response in totally naïve animals was also assayed. Shown are the mock-corrected frequencies of T cells specific for a defined gag CD8+ epitope in BALB/c mice
25 (AMQMLKETI). Ad5-primed immune responses (about 300 per million) were boosted significantly by administration of vaccinia-gag (to about 1400 per million).

Figure 8 shows a restriction map of the pMRKAd5HIV-1gag vector.

Figures 9A-1 to 9A-45 illustrate the nucleotide sequence of the pMRKAd5HIV-1gag vector (SEQ ID NO:2 [coding] and SEQ ID NO:3 [non-
30 coding]).

Figure 10 shows the levels of Gag-specific antibodies in rhesus macaques immunized with (a) two priming doses of 10^9 vp of MRKAd5 HIV-1 gag and a single booster shot with 10^9 vp MRKAd5 HIV-1 gag ("10⁹ vp MRKAd5-10⁹ vp MRKAd5"), (b) two priming doses of 10^9 pfu MVA HIV-1 gag and a single booster

with 10e9 pfu MVA HIV-1 gag ("10e9 pfu MVA-10e9 pfu MVA"), or (c) two priming doses of 10e9 vp of MRKAd5 HIV-1 gag followed by a single booster shot with 10e9 pfu MVA HIV-1 gag ("10e9 vp MRKAd5-10e9 pfu MVA"). Shown are the geometric mean titers for each cohort at the start of the immunization regimen ("Pre"), 4 weeks after the first priming dose ("Wk 4"), 4 weeks after the second priming dose ("Wk 8"), just prior to the boost ("Pre-Boost"), and 8 weeks after the boost ("Post-Boost").

Figure 11 shows the homologous recombination protocol utilized to recover pAd6E1-E3+ disclosed herein

Figure 12 shows the levels of Gag-specific T cells in rhesus macaques immunized with three doses of either MRKAd5-HIVgag or MRKAd6-HIVgag followed by a single booster shot with 10⁸ pfu of ALVAC-HIVgag (see Table 4). Also shown are the responses in macaques given three (3) doses of 10⁹ pfu ALVAC-HIVgag. The levels shown are the mock-corrected levels for each animal prior to the start of the immunization regimen ("Pre"), 4-8 wks after the second priming dose ("Post Dose 2"), 8 wks after the third vaccine dose ("Post Dose 3"), just prior to the boost ("Pre-Boost"), and 4 wks after the boost ("4 wk Post Boost"). For the 127F, 57T, and 84TX subjects, no vaccine (NA-not available) was given after the third ALVAC dose.

DETAILED DESCRIPTION OF THE INVENTION

An enhanced means for generating an immune response against human immunodeficiency virus ("HIV") is described. The method is based on a heterologous prime-boost immunization scheme employing recombinant adenovirus and poxvirus vectors comprising exogenous genetic material encoding an HIV antigen (or antigens) of interest. A priming dose of the HIV antigen(s) is first delivered with a recombinant adenoviral vector. This dose effectively primes the immune response so that, upon subsequent identification of the antigen in the circulating immune system, the immune response is capable of immediately recognizing and responding to the antigen within the host. The priming dose(s) is then followed up with a boosting dose of a recombinant poxvirus vector comprising exogenous genetic material encoding the antigen. It has been found that, as relates to HIV antigens, administration in accordance with this description results in a significant non-additive synergistic effect which notably increases the immune response seen in inoculated

mammalian hosts. The effects are particularly evident in the cellular immune responses generated following inoculation. The disclosed immunization regime, thus, offers a prophylactic advantage to previously uninfected individuals and can offer a therapeutic effect to reduce viral load levels in those already infected with the virus, hence prolonging the asymptomatic phase of HIV-1 infection.

Accordingly, the instant invention relates to a method for inducing an enhanced immunological response against an HIV-1 antigen in a mammalian host comprising the steps of (a) inoculating the mammalian host with a recombinant adenoviral vector at least partially deleted in E1 and devoid of E1 activity comprising a gene encoding an HIV-1 antigen or immunologically relevant modification thereof; and thereafter (b) inoculating the mammalian host with a boosting immunization comprising a recombinant poxvirus vector comprising a gene encoding an HIV-1 antigen or immunologically relevant modification thereof; said recombinant poxvirus vector being replication-impaired in the mammalian host. "Replication-impaired" in this context has a broad meaning and generally describes (1) those vectors that have been attenuated or modified such that replication is not possible; (2) those vectors that have been attenuated or modified such that replication is impaired; and (3) those vectors that simply do not replicate, or replicate at a much reduced level, in the particular mammalian species that is treated. Replication of avipoxviruses, for instance, appears to be restricted to avian species. For this reason, avipoxviruses stand as a very safe vector for use in mammals. Replication appears to be blocked at a step prior to viral-DNA synthesis, presumably allowing for the use of only the early promoters; *see, e.g.*, Moss, B., 1993 *Curr. Opin. Genet. Devel.* 3:86-90; and Taylor *et al.*, 1991 *Vaccine* 9:190-3. This level of replication has, however, been noted to afford protective immunization; *see, e.g.*, Wild *et al.*, 1990 *Vaccine* 8:441-442; and 1992 *Virology* 187:321-28; and Cadoz *et al.*, 1992 *Lancet* 339:1429-32. Poxviruses form an essential element of the instant methods as they have been found to exhibit a surprising ability to significantly boost an adenoviral-primed immune response against HIV. Specific embodiments of the instant invention employ modified vaccinia viruses (such as Modified Vaccinia Virus Ankara ("MVA"), subject of U.S. Patent No. 5,185,146; and NYVAC, a highly attenuated strain of vaccinia virus disclosed in, *inter alia*, Tartaglia *et al.*, 1992 *Virology* 188:217-232) in the boosting administrations of the instant invention, although any poxvirus and, particularly vaccinia virus, that can effectuate the delivery and expression of an

antigen of interest and which is of reduced virulence in the intended mammalian host is encompassed herein. Modified vaccinia viruses and their use in various methods have been disclosed in the art, *see, e.g.*, U.S. Patent Nos. 5,185,146; 5,110,587; 4,722,848; 4,769,330; 5,110,587; and 4,603,112. This is true as well for generalized methods for constructing recombinant vaccinia virus; *see, e.g.*, Earl *et al.*, In *Current Protocols in Molecular Biology*, Ausubel *et al.* eds., New York: Greene Publishing Associates & Wiley Interscience; 1991:16.16.1-16.16.7. Further embodiments of the instant application utilize alternative poxvirus vectors in the boosting administration of the disclosed methods. Of specific mention, are avipoxviruses such as ALVAC (the subject of, *inter alia*, U.S Patent Nos. 5,505,941; 5,174,993; 5,942,235; 5,863,542; and 5,174,993). ALVAC, as indicated earlier, is a plaque-purified clone derived from an attenuated canarypox virus obtained from the wild-type strain after 200 passages in chick embryo fibroblasts. ALVAC recombinants and the use thereof form another aspect of the instant invention. A specific example of such an ALVAC recombinant is vCP 205. vCP 205 (ATCC Acc. No. VR-2547) is, in brief, an ALVAC recombinant (ALVAC-MN120TMG) which expresses HIV1 (IIIB) gag (and protease) proteins, as well as a form of the HIV1(MN) envelope glycoprotein in which gp120 is fused to the transmembrane anchor sequence derived from gp41. Incorporation of the HIV genes in an ALVAC backbone is described in issued U.S. Patent No. 5,863,542 (*see, e.g.*, Example 14). The recombinant canarypox virus ALVAC-HIV (vCP205) was obtained by homologous recombination between the pHIV32 plasmid and the ALVAC genomic DNA. The pHIV32 plasmid encodes the HIV-1 gp120-MN and the anchoring region of gp41 (transmembrane glycoprotein of HIV-1 gp41 LAI), the Gag p55-polyprotein, and the protease-LAI whose expressions are under control of the HG and I3L vaccinia promoters, respectively. The nucleotide sequence of the H6-promoted HIV1 gp120 (+transmembrane) gene and the I3L-promoted HIV1gag(+pro) gene contained in pHIV32 is disclosed in Figures 14A to 14C of U.S. Patent No. 5,863,542 which is hereby incorporated by reference.. Deletion of the ectodomain of gp41 is believed to make it easier to distinguish between infected and vaccinated subjects since most HIV-infected subjects show antibodies directed against the immunodominant region of gp41 precisely deleted in vCP205.

Strategies involved in the construction of recombinant poxvirus are known, *see, e.g.*, Panicali & Paoletti, 1982 *Proc. Natl. Acad. Sci. USA* 79:4927-31; Nakano *et*

al., 1982 *Proc. Natl. Acad. Sci. USA* 79:1593-96; Piccini *et al.*, In *Methods in Enzymology*, Wu & Grossman, eds., Academic Press, San Diego, 153:545-63; U.S. Patent No. 4,603,112; Sutter *et al.*, 1994 *Vaccine* 12:1032-40; and Wyatt *et al.*, 1996 *Vaccine* 15:1451-8. Methods for creating synthetic recombinant poxviruses are also
5 described in U.S. Patent Nos. 4,769,330; 4,722,848; 4,603,112; 5,110,587; and 5,174,993 ; the disclosures of which are incorporated herein by reference. The construction of recombinant MVA and ALVAC recombinant virus comprising exogenous genetic material coding for HIV gag is described herein in Examples 2 and 10, respectively. As one of ordinary skill in the art will appreciate, insertion of the exogenous genetic material can be targeted to numerous locations of the poxvirus genome provided the location does not negate the ability of the virus to effect expression of the genetic material. In order to ensure the infectivity of the virus and, hence, expression of the construct, insertion must occur into silent regions of the genome or into nonessential genes. The recombinant MVA constructs disclosed
15 herein, for instance, have the exogenous genetic material incorporated into the thymidine kinase region and the deletion II region (a region defined, *inter alia*, in Meyer *et al.*, 1991 *J. Gen. Virol.* 72:1031-8); *see* Example 2.

Recombinant adenoviral vectors form an essential element of the methods of the instant invention as they have been found to very effectively prime the immune
20 response against a specific antigen of interest. Preferred embodiments of the instant invention employ adenoviral vectors which are replication-defective by reason of having a deletion in/activation of the E1 region which renders the vector devoid (or essentially devoid) of E1 activity. Adenovirus serotype 5 has been found to be a very effective adenovirus vehicle for purposes of effectuating sufficient expression of
25 exogenous genetic material (particularly HIV antigens) in order to provide for sufficient priming of the mammalian host immune response. Alternative replication-defective adenoviral vehicles capable of effecting expression of the HIV antigen are, however, also suitable for use herein.

The wildtype adenovirus serotype 5 sequence is known and described in the
30 art; *see*, Chroboczek *et al.*, 1992 *J. Virology* 186:280, which is hereby incorporated by reference. Accordingly, a particular embodiment of the instant invention is an immunization scheme employing a vector based on the wildtype adenovirus serotype 5 sequence in the priming administration; a virus of which has been deposited with the American Type Culture Collection ("ATCC") under ATCC Deposit No. VR-5.

One of skill in the art can, however, readily identify alternative adenovirus serotypes (e.g., serotypes 2, 4, 6, 12, 16, 17, 24, 31, 33, and 42) and incorporate same into the disclosed heterologous prime-boost immunization schemes. Accordingly, the instant invention encompasses methods employing all adenoviral vectors partially deleted in.

5 E1 in the administration schemes of the instant invention.

Recombinant adenoviral vectors comprising deletions additional to that contained within the region of E1 are also contemplated for use within the methods of the instant invention. For example, vectors comprising deletions in both E1 and E3 are contemplated for use within the methods of the instant invention. Such a vector
10 can accommodate a larger amount of foreign DNA inserts (or exogenous genetic material).

Adenoviral vectors of use in the methods of the instant invention can be constructed using known techniques, such as those reviewed in Hitt et al, 1997 "Human Adenovirus Vectors for Gene Transfer into Mammalian Cells" *Advances in*
15 *Pharmacology* 40:137-206, which is hereby incorporated by reference.

Adenoviral pre-plasmids (e.g., pMRKAd5gag) can be generated by homologous recombination using adenovirus backbones (e.g., MRKHVE3) and the appropriate shuttle vector. The plasmid in linear form is capable of replication after entering the PER.C6[®] cells, and virus is produced. The infected cells and media are
20 then harvested after viral replication is complete.

Viral vectors can be propagated in various E1 complementing cell lines, including the known cell lines 293 and PER.C6[®]. Both these cell lines express the adenoviral E1 gene product. PER.C6[®] is described in WO 97/00326 (published January 3, 1997) and issued U.S. Patent No. 6,033,908, both of which are hereby
25 incorporated by reference. It is a primary human retinoblast cell line transduced with an E1 gene segment that complements the production of replication deficient (FG) adenovirus, but is designed to prevent generation of replication competent adenovirus by homologous recombination. Cells of particular interest have been stably transformed with a transgene that encodes the AD5E1A and E1B gene, like PER.C6[®],
30 from 459 bp to 3510 bp inclusive. 293 cells are described in Graham et al., 1977 *J. Gen. Virol* 36:59-72, which is hereby incorporated by reference. As stated above, consideration must be given to the adenoviral sequences present in the complementing cell line used. It is preferred that the sequences not overlap with that present in the vector if the possibility of recombination is to be minimized.

Adenoviral and poxvirus vectors of use in the instant invention comprise a gene encoding an HIV-1 antigen or an immunologically relevant modification thereof. HIV antigens of interest include, but are not limited to, the major structural proteins of HIV such as Gag, Pol, and Env, immunologically relevant modifications, and immunogenic portions thereof. The invention, thus, encompasses the various forms of codon-optimized HIV-1 gag (including but by no means limited to p55 versions of codon-optimized full length ("FL") Gag and tPA-Gag fusion proteins), HIV-1 pol, HIV-1 nef, HIV env, and selected modifications of immunological relevance. Exogenous genetic material encoding a protein of interest can exist in the form of an expression cassette. A gene expression cassette preferably comprises (a) a nucleic acid encoding a protein of interest; (b) a heterologous (non-native) or modified native promoter operatively linked to the nucleic acid encoding the protein; and (c) a transcription termination sequence; provided that any promoter utilized to drive expression of the nucleic acid included within the gene expression cassette for the recombinant poxvirus vector is either native to, or derived from, the poxvirus of interest or another poxvirus member. Naturally occurring, nonoverlapping, tandem early/late promoters of moderate strength have been described for vaccinia virus (see, e.g., Cochran, *et al.*, 1985 *J. Virol.* 54:30-37; and Rosel *et al.*, 1986 *J. Virol.* 60:436-9) and have been used for gene expression. An example of a modified native promoter is the synthetic early/late promoter of Example 2, previously described in Chakrabarti *et al.*, 1997 *BioTechniques* 23(6):1094-97. Preferably, the gene expression cassette used within the recombinant poxvirus comprises (a) a nucleic acid encoding an HIV antigen (e.g., an HIV protein) or biologically active and/or immunologically relevant portion thereof; and (b) a heterologous promoter (from another poxvirus species) or a promoter which is native to or derived from the poxvirus of interest.

The transcriptional promoter of the recombinant adenoviral vector is preferably recognized by an eukaryotic RNA polymerase. In a preferred embodiment, the promoter is a "strong" or "efficient" promoter. An example of a strong promoter is the immediate early human cytomegalovirus promoter (Chapman *et al.*, 1991 *Nucl. Acids Res* 19:3979-3986, which is incorporated by reference), preferably without intronic sequences. Most preferred for use within the instant adenoviral vector is a human CMV promoter without intronic sequences, like intron A. Applicants have found that intron A, a portion of the human cytomegalovirus promoter (hCMV),

constitutes a region of instability for adenoviral vectors. CMV without intron A has been found to effectuate comparable expression capabilities *in vitro* when driving HIV gag expression and, furthermore, behaved equivalently to intron A-containing constructs in Balb/c mice *in vivo* with respect to their antibody and T-cell responses at both dosages of plasmid DNA tested (20 µg and 200 µg). Those skilled in the art will appreciate that any of a number of other known promoters, such as the strong immunoglobulin, or other eukaryotic gene promoters may also be used, including the EF1 alpha promoter, the murine CMV promoter, Rous sarcoma virus (RSV) promoter, SV40 early/late promoters and the beta-actin promoter. In preferred embodiments, the promoter may comprise a regulatable sequence such as the Tet operator sequence. This would be extremely useful, for example, in cases where the gene products are effecting a result other than that desired and repression is sought. Preferred transcription termination sequences present within the gene expression cassette are the bovine growth hormone terminator/polyadenylation signal (bGHpA) and the short synthetic polyA signal (SPA) of 50 nucleotides in length, defined as follows: AATAAAAGATCTTTATTTTCATTAGATCTGTGTGTTGGT-TTTTGTGTG (SEQ ID NO:4). A recombinant adenoviral vectors with an expression cassette comprising a CMV promoter (devoid of the intron A region) and a BGH terminator forms a specific aspect of the present invention, although other promoter/terminator combinations can be used. Other embodiments incorporate a leader or signal peptide into the transgene. A preferred leader is that from the tissue-specific plasminogen activator protein, tPA.

Recombinant viral vectors in accordance with the instant disclosure form an aspect of the instant invention. Other aspects of the instant invention are host cells comprising said adenoviral and/or pox virus vectors; vaccine compositions comprising said vectors; and methods of producing the vectors comprising (a) introducing the adenoviral and/or pox virus vector into a host cell, and (b) harvesting the resultant vectors.

Administration of the viral vectors in accordance with the methods of the instant invention should elicit potent and broad cellular immune responses against HIV that will either lessen the likelihood of persistent virus infection and/or lead to the establishment of a clinically significant lowered virus load subject to HIV infection or in combination with HAART therapy, mitigate the effects of previously established HIV infection (antiviral immunotherapy(ARI)). While any HIV antigen

(e.g., gag, pol, nef, gp160, gp41, gp120, tat, rev, etc.) may be incorporated into the recombinant viral vectors of use in the methods of the instant invention, preferred embodiments include the codon optimized p55 gag antigen, pol and nef. The adenoviral and/or pox virus vehicles of the instant invention can utilize heterologous nucleic acid which may or may not be codon-optimized. In specific embodiments of the instant invention, the individual can be primed with an adenoviral vector comprising codon-optimized heterologous nucleic acid, and boosted with a pox virus vector comprising non-codon-optimized nucleic acid. Administration of multiple antigens possesses the possibility for exploiting various different combinations of codon-optimized and non-codon-optimized sequences.

Sequences based on different Clades of HIV-1 are suitable for use in the instant invention, most preferred of which are Clade B and Clade C. Particularly preferred embodiments are those sequences (especially, codon-optimized sequences) based on consensus Clade B sequences. Preferred versions of the viral vaccines will encode modified versions of pol or nef. Preferred embodiments of the viral vaccines carrying HIV envelope genes and modifications thereof comprise the HIV codon-optimized *env* sequences of PCT International Applications PCT/US97/02294 and PCT/US97/10517, published August 28, 1997 (WO 97/31115) and December 24, 1997, respectively; both documents of which are hereby incorporated by reference.

Sequences for many genes of many HIV strains are publicly available in GENBANK and primary, field isolates of HIV are available from the National Institute of Allergy and Infectious Diseases (NIAID) which has contracted with Quality Biological (Gaithersburg, MD) to make these strains available. Strains are also available from the World Health Organization (WHO), Geneva Switzerland. It is preferred that the gag gene be from an HIV-1 strain (CAM-1; Myers et al, eds. "Human Retroviruses and AIDS: 1995, IIA3-IIA19, which is hereby incorporated by reference). This gene closely resembles the consensus amino acid sequence for the clade B (North American/European) sequence. Therefore, it is within the purview of the skilled artisan to choose an appropriate nucleotide sequence which encodes a specific HIV gag antigen, or immunologically relevant portion thereof. A clade B or clade C based p55 gag antigen will potentially be useful on a global scale. A transgene of choice for insertion into the vectors utilized within the disclosed methods is a codon-optimized version of p55 gag.

In addition to a single HIV antigen of interest being delivered by the adenoviral and poxvirus vectors, two or more antigens can be delivered either via separate vehicles or delivered *via* the same vehicle. For instance, a priming dose in accordance with the instant invention can comprise a recombinant viral vector comprising genes encoding both nef and pol or, alternatively, two or more alternative HIV-1 antigens. The boosting dose could then comprise a recombinant poxvirus vector comprising the genes encoding both nef and pol (or whichever two or more HIV-1 antigens were used in the priming dose). In an alternative scenario, the priming dose can comprise a mixture of separate adenoviral vehicles each comprising a gene encoding for a different HIV-1 antigen. In such a case, the poxvirus boosting dose would also comprise a mixture of poxvirus vectors each comprising a gene encoding for a separate HIV-1 antigen, provided that the boosting dose administers recombinant viral vectors comprising genetic material encoding for the same antigens that were delivered in the priming dose. Alternatively, a poxvirus vector expressing all HIV-1 antigens could be generated to serve as a boosting agent for vaccination. These divalent (*e.g.*, gag and nef, gag and pol, or pol and nef components) or trivalent (*e.g.*, gag, pol and nef components) vaccines can further be administered by a combination of the techniques described above. Therefore, a preferred aspect of the present invention are the various vaccine formulations that can be administered by the methods of the instant invention. It is also within the scope of the present invention to embark on combined modality regimes which include multiple but distinct components from a specific antigen.

The disclosed immunization regimes employing fusion constructs composed of two or more antigens are also encompassed herein. For example, multiple HIV-1 viral antigens may be ligated into a proper shuttle plasmid for generation of a pre-viral plasmid comprising multiple open reading frames. For example a trivalent vector may comprise a gag-pol-nef fusion, or possibly a "2+1" divalent vaccine comprising, for instance, a gag-pol fusion (*e.g.*, a codon optimized p55 gag and inactivated optimized pol) with each open reading frame being operatively linked to a distinct promoter and transcription termination sequence. Alternatively, the two open reading frames in the same construct may be operatively linked to a single promoter, with the open reading frames operatively linked by an internal ribosome entry sequence (IRES), as disclosed in International Publication No. WO 95/24485, which is hereby incorporated by reference. In the absence of the use of IRES-based technology, it is

preferred that a distinct promoter be used to support each respective open reading frame, so as to best preserve vector stability. As examples, and certainly not as limitations, potential multiple transgene vaccines may include a three transgene vector such as that wherein a gagpol fusion and nef gene were included in the same vector with different promoters and termination sequences being used for the gagpol fusion and nef gene. Further, potential "2+1" divalent vaccines of the present invention might be wherein a single construct containing gag and nef with separate promoters and termination sequences is administered in combination with a construct comprising a pol gene with promoter and termination sequence. Fusion constructs other than the gag-pol fusion described above are also suitable for use in various divalent vaccine strategies and can be composed of any two HIV antigens fused to one another (*e.g.*, nef-pol and gag-nef). These compositions are, as above, preferably delivered along with a viral composition comprising an additional HIV antigen in order to diversify the immune response generated upon inoculation. Therefore, a multivalent vaccine delivered in a single, or possibly second, viral vector is certainly contemplated as part of the present invention. It is important to note that, in terms of deciding on an insert for the recombinant adenoviral vectors, due consideration must be dedicated to the effective packaging limitations of the viral vehicle. Adenovirus, for instance, has been shown to exhibit an upper cloning capacity limit of approximately 105% of the wildtype Ad5 sequence.

Regardless of the gene chosen for expression, it is preferred in certain embodiments that the sequence be "optimized" for expression in a mammalian (*e.g.*, human cellular environment, particularly in the adenoviral constructs. A "triplet" codon of four possible nucleotide bases can exist in 64 variant forms. That these forms provide the message for only 20 different amino acids (as well as transcription initiation and termination) means that some amino acids can be coded for by more than one codon. Indeed, some amino acids have as many as six "redundant", alternative codons while some others have a single, required codon. For reasons not completely understood, alternative codons are not at all uniformly present in the endogenous DNA of differing types of cells and there appears to exist variable natural hierarchy or "preference" for certain codons in certain types of cells. As one example, the amino acid leucine is specified by any of six DNA codons including CTA, CTC, CTG, CTT, TTA, and TTG (which correspond, respectively, to the mRNA codons, CUA, CUC, CUG, CUU, UUA and UUG). Exhaustive analysis of genome codon

frequencies for microorganisms has revealed endogenous DNA of *E. coli* most commonly contains the CTG leucine-specifying codon, while the DNA of yeast and slime molds most commonly includes a TTA leucine-specifying codon. In view of this hierarchy, it is generally held that the likelihood of obtaining high levels of expression of a leucine-rich polypeptide by an *E. coli* host will depend to some extent on the frequency of codon use. For example, a gene rich in TTA codons will in all probability be poorly expressed in *E. coli*, whereas a CTG rich gene will probably highly express the polypeptide. Similarly, when yeast cells are the projected transformation host cells for expression of a leucine-rich polypeptide, a preferred codon for use in an inserted DNA would be TTA.

The implications of codon preference phenomena on recombinant DNA techniques are manifest, and the phenomenon may serve to explain many prior failures to achieve high expression levels of exogenous genes in successfully transformed host organisms--a less "preferred" codon may be repeatedly present in the inserted gene and the host cell machinery for expression may not operate as efficiently. This phenomenon suggests that synthetic genes which have been designed to include a projected host cell's preferred codons provide a preferred form of foreign genetic material for practice of recombinant DNA techniques. Thus, one aspect of this invention is a vaccine administration protocol wherein the adenoviral and poxvirus vectors both specifically include a gene which is codon optimized for expression in a human cellular environment. As noted herein, a preferred gene for use in the instant invention is a codon-optimized HIV gene and, particularly, HIV gag, pol, env, or nef, although as stated above, one or more of the viral vehicles of the instant invention can utilize heterologous nucleic acid which may or may not be codon-optimized. In specific embodiments of the instant invention, the individual can be primed with an adenoviral vector comprising codon-optimized heterologous nucleic acid, and boosted with a pox virus vector comprising non-codon-optimized nucleic acid. Administration of multiple antigens possesses the possibility for exploiting various different combinations of codon-optimized and non-codon-optimized sequences.

A vaccine composition comprising the recombinant viral vectors either in the priming or boosting dose in accordance with the instant invention may contain physiologically acceptable components, such as buffer, normal saline or phosphate buffered saline, sucrose, other salts and polysorbate. One preferred formulation for

the recombinant adenoviral vector has: 2.5-10 mM TRIS buffer, preferably about 5 mM TRIS buffer; 25-100 mM NaCl, preferably about 75 mM NaCl; 2.5-10% sucrose, preferably about 5% sucrose; 0.01 -2 mM MgCl₂; and 0.001%-0.01% polysorbate 80 (plant derived). The pH should range from about 7.0-9.0, preferably about 8.0. One skilled in the art will appreciate that other conventional vaccine excipients may also be used to make the formulation. The preferred formulation contains 5mM TRIS, 75 mM NaCl, 5% sucrose, 1mM MgCl₂, 0.005% polysorbate 80 at pH 8.0. This has a pH and divalent cation composition which is near the optimum for Ad5 stability and minimizes the potential for adsorption of virus to a glass surface. It does not cause tissue irritation upon intramuscular injection. It is preferably frozen until use.

The amount of viral particles in the vaccine composition to be introduced into a vaccine recipient will depend on the strength of the transcriptional and translational promoters used and on the immunogenicity of the expressed gene product. In general, an immunologically or prophylactically effective dose of 1×10^7 to 1×10^{12} particles and preferably about 1×10^{10} to 1×10^{11} particles is administered directly into muscle tissue. Subcutaneous injection, intradermal introduction, impression through the skin, and other modes of administration such as intraperitoneal, intravenous, or inhalation delivery are also contemplated. Parenteral administration, such as intravenous, intramuscular, subcutaneous or other means of administration of interleukin-12 protein, concurrently with or subsequent to parenteral introduction of the vaccine compositions of this invention is also advantageous.

The administration schemes of the instant invention are based on the priming of the immune response with an adenoviral vehicle comprising a gene encoding an HIV antigen (or antigens) and, following a predetermined length of time, boosting the adenovirus-primed response with a poxvirus vector comprising a gene encoding an HIV antigen(s). Multiple primings, typically, 1-4, are usually employed, although more may be used. The length of time between prime and boost may typically vary from about four months to a year, but other time frames may be used. The booster dose may be repeated at selected time intervals.

A large body of human and animal data supports the importance of cellular immune responses, especially CTL in controlling (or eliminating) HIV infection. In humans, very high levels of CTL develop following primary infection and correlate with the control of viremia. Several small groups of individuals have been described who are repeatedly exposed to HIV but remain uninfected; CTL has been noted in

several of these cohorts. In the SIV model of HIV infection, CTL similarly develops following primary infection, and it has been demonstrated that addition of anti-CD8 monoclonal antibody abrogated this control of infection and leads to disease progression.

5

The following non-limiting Examples are presented to better illustrate the invention.

EXAMPLE 1

10

HIV-1 Gag Gene

A synthetic gene for HIV gag from HIV-1 strain CAM-1 was constructed using codons frequently used in humans; *see Korber et al.*, 1998 *Human Retroviruses and AIDS*, Los Alamos Nat'l Lab., Los Alamos, New Mexico; and Lathe, R., 1985 *J. Mol. Biol.* 183:1-12. Figure 2 illustrates the nucleotide sequence of the exemplified optimized codon version of full-length p55 gag. The gag gene of HIV-1 strain CAM-1 was selected as it closely resembles the consensus amino acid sequence for the clade B (North American/European) sequence (Los Alamos HIV database). Advantage of this "codon-optimized" HIV gag gene as a vaccine component has been demonstrated in immunogenicity studies in mice. The "codon-optimized" HIV gag gene was shown to be over 50-fold more potent to induce cellular immunity than the wild type HIV gag gene when delivered as a DNA vaccine.

A KOZAK sequence (GCCACC) was introduced preceding the initiating ATG of the gag gene for optimal expression. The HIV gag fragment with KOZAK sequence was amplified through PCR from V1Jns-HIV gag vector. PVIJnsHIVgag is a plasmid comprising the CMV immediate-early (IE) promoter and intron A, a full-length codon-optimized HIV gag gene, a bovine growth hormone-derived polyadenylation and transcriptional termination sequence, and a minimal pUC backbone; *see Montgomery et al.*, 1993 *DNA Cell Biol.* 12:777-783, for a description of the plasmid backbone.

EXAMPLE 2

Recombinant MVA Construction And Purification

Two recombinant MVA constructs were constructed with the HIV gag gene
5 fragment with KOZAK sequence cloned into two different locations of the MVA
genome, the viral thymidine kinase region (MVA-HIV gag TK) and the deletion II
region (MVA-HIV gag dII), respectively, with the appropriate linker sequence of the
restriction sites. The thymidine kinase region insertion was achieved through the use
of shuttle vector pSC59 (*see*, Chakrabarti *et al.*, 1997 *BioTechniques* 23(6):1094-
10 1097) with the HIV gag fragment inserted at a unique *Xho* I site. The deletion II
region insertion was accomplished through the use of pLW21 wherein the HIV gag
fragment was inserted at a unique *Pme*I site. pLW21 is basically a plasmid derived
from pGEM4 vector (Promega) containing a single synthetic early/late promoter and a
unique *Pme*I site for cloning. The promoter and cloning site are flanked by MVA
15 viral sequence on both sides for targeted insertion upon homologous recombination
events into the deletion II region of the MVA genome. Expression of the transgene
within both constructs is driven by a synthetic early/late promoter previously
described for vaccinia virus (Chakrabarti *et al.*, *supra*). Viral transcription termination
and polyadenylation signal sequences were not included in the inserted fragment, as
20 sequences native to the flanking regions of the insert were generally considered
sufficient for the transcription termination and polyadenylation of transgene transcript
(*see* B Moss, *Current Topics in Microbiology and Immunology*, 158:25, 1992). The
authenticity of the transgene product expressed through the poxvirus vector was
guaranteed by the translational termination codon (TAA) at the 3' end of transgene
25 ORF. The orientation and authenticity of the insertions were confirmed by DNA
sequencing.

Methods for generating recombinant MVA have been described previously
(*see, e.g.*, Sutter *et al.*, 1994 *Vaccine* 12:1032-1040; Wyatt *et al.*, 1996 *Vaccine*,
15:1451-1458). Briefly, sub-confluent primary chick embryo fibroblast cells (CEF) in
30 25 cm² cell culture flask were infected with wild-type MVA at a multiplicity of
infection ("m.o.i.") of 0.05 for two hours, and were then transfected with
approximately 20 mcg of shuttle vector DNA precipitated with Lipofectin (GIBCO
BRL). The cells were cultured for two days, and then the cell pellets were lysed in 1
ml PBS/BSA by repeated freezing-thawing. The cell lysate was used to infect CEFs

in a 6-well plate at dilutions of 1:3, 1:9 and 1:27 in duplicates. After two days, the medium was removed and the cell monolayers were washed twice with PBS. The cells were then frozen and thawed three times and the plaques containing cells infected with recombinant MVA were identified by immunostaining, with sequential incubations with a monoclonal antibody against HIV gag (Advanced Biotechnology Inc) and goat-anti-mouse IgG antibody conjugated with peroxidase (Pierce) with *o*-dianisidine as substrate. The blue plaques formed by the infected cells were picked under the inverted microscope, and the cells were diluted in 1 ml PBS. The cells were lysed by freezing-thawing, and the recombinant MVA was further purified in CEF, using dilutions of 1:5, 1:20 and 1:80, for another 5 rounds. The recombinant MVA was then expanded in CEF in a tissue culture flask of 25 cm², and the expression of HIV gag was confirmed by Western blot analysis in CV-1 cells infected with MVA at different dilutions. The final viral stock was prepared in 40 to 80 flasks of 150 cm² of CEF, and the viral titers were determined by plaque assay using an immunostaining method.

Recombinant MVA constructs with insertion into the deletion II region were used in the immunizations discussed below.

EXAMPLE 3

Generation of Adenoviral Vector Constructs

A. Removal of the Intron A Portion of the hCMV Promoter

GMP grade pVII_{ns}HIVgag was used as the starting material to amplify the hCMV promoter. The amplification was performed with primers suitably positioned to flank the hCMV promoter. A 5' primer was placed upstream of the *MscI* site of the hCMV promoter and a 3' primer (designed to contain the *Bgl*III recognition sequence) was placed 3' of the hCMV promoter. The resulting PCR product (using high fidelity *Taq* polymerase) which encompassed the entire hCMV promoter (minus intron A) was cloned into TOPO PCR blunt vector and then removed by double digestion with *MscI* and *Bgl*III. This fragment was then cloned back into the original GMP grade pV1_{ns}HIVgag plasmid from which the original promoter, intron A, and the gag gene were removed following *MscI* and *Bgl*III digestion. This ligation reaction resulted in the construction of a hCMV promoter (minus intron A) + bGHpA

expression cassette within the original pV1JnsHIVgag vector backbone. This vector is designated pV1JnsCMV(no intron).

- 5 The FLgag gene was excised from pV1JnsHIVgag using *Bgl*II digestion and the 1,526 bp gene was gel purified and cloned into pV1JnsCMV(no intron) at the *Bgl*II site. Colonies were screened using *Sma*I restriction enzymes to identify clones that carried the FLgag gene in the correct orientation. This plasmid, designated pV1JnsCMV(no intron)-FLgag-bGHpA, was fully sequenced to confirm sequence integrity.

10 B. Construction of the Modified Shuttle Vector -"MRKpdelE1 Shuttle"

The modifications to the original Ad5 shuttle vector (pdelE1sp1A; a vector comprising Ad5 sequences from base pairs 1-341 and 3524-5798, with a multiple cloning region between nucleotides 341 and 3524 of Ad5, included the following three manipulations carried out in sequential cloning steps as follows:

- 15 (1) The left ITR region was extended to include the *Pac*I site at the junction between the vector backbone and the adenovirus left ITR sequences. This allow for easier manipulations using the bacterial homologous recombination system.
(2) The packaging region was extended to include sequences of the wild-type (WT) adenovirus from 342 bp to 450 bp inclusive.
20 (3) The area downstream of pIX was extended 13 nucleotides (i.e., nucleotides 3511-3523 inclusive).

- These modifications (Figure 4) effectively reduced the size of the E1 deletion without overlapping with any part of the E1A/E1B gene present in the transformed PER.C6[®] cell line. All manipulations were performed by modifying the Ad shuttle vector
25 pdelE1sp1A.

Once the modifications were made to the shuttle vector, the changes were incorporated into the original Ad5 adenovector backbone pAdHVE3 by bacterial homologous recombination using *E. coli* BJ5183 chemically competent cells.

30 C. Construction of Modified Adenovector Backbone

An original adenovector pADHVE3 (comprising all Ad5 sequences except those nucleotides encompassing the E1 region) was reconstructed so that it would contain the modifications to the E1 region. This was accomplished by digesting the newly modified shuttle vector (MRKpdelE1 shuttle) with *Pac*I and *Bst*Z1101 and

isolating the 2,734 bp fragment which corresponds to the adenovirus sequence. This fragment was co-transformed with DNA from *Cla*I linearized pAdHVE3 (E3+adenovector) into *E. coli* BJ5183 competent cells. At least two colonies from the transformation were selected and grown in Terrific™ broth for 6-8 hours until

5 turbidity was reached. DNA was extracted from each cell pellet and then transformed into *E. coli* XL1 competent cells. One colony from the transformation was selected and grown for plasmid DNA purification. The plasmid was analyzed by restriction digestions to identify correct clones. The modified adenovector was designated MRKpAdHVE3 (E3+ plasmid). Virus from the new adenovector (MRKHVE3) as

10 well as the old version were generated in the PER.C6® cell lines. In addition, the multiple cloning site of the original shuttle vector contained *Cla*I, *Bam*HI, *Xho*I, *Eco*RV, *Hind*III, *Sal*I, and *Bgl*II sites. This MCS was replaced with a new MCS containing *Not*I, *Cla*I, *Eco*RV and *Asc*I sites. This new MCS has been transferred to the MRKpAdHVE3 pre-plasmid along with the modification made to the

15 packaging region and pIX gene.

D. Construction of the new shuttle vector containing modified gag transgene – “MRKpdeIE1-CMV(no intron)-FLgag-bGHpA”

The modified plasmid pV1InsCMV(no intron)-FLgag-bGHpA was digested

20 with *Msc*I overnight and then digested with *Sfi*I for 2 hours at 50°C. The DNA was then treated with Mungbean nuclease for 30 minutes at 30°C. The DNA mixture was desalted using the Qiaex II kit and then Klenow treated for 30 minutes at 37°C to fully blunt the ends of the transgene fragment. The 2,559 bp transgene fragment was then gel purified. The modified shuttle vector (MRKpdeIE1 shuttle) was linearized by

25 digestion with *Eco*RV, treated with calf intestinal phosphatase and the resulting 6,479 bp fragment was then gel purified. The two purified fragments were then ligated together and several dozen clones were screened to check for insertion of the transgene within the shuttle vector. Diagnostic restriction digestion was performed to identify those clones carrying the transgene in the E1 parallel orientation.

30

E. Construction of the MRK FG Adenovector

The shuttle vector containing the HIV-1 gag transgene in the E1 parallel orientation, MRKpdeIE1-CMV(no intron)-FLgag-bGHpA, was digested with *Pac*I. The reaction mixture was digested with *Bsf*Z171. The 5,291 bp fragment was purified

by gel extraction. The MRKpAdHVE3 plasmid was digested with *Cla*I overnight at 37°C and gel purified. About 100 ng of the 5,290 bp shuttle +transgene fragment and ~100 ng of linearized MRKpAdHVE3 DNA were co-transformed into *E. coli* BJ5183 chemically competent cells. Several clones were selected and grown in 2 ml

- 5 Terrific™ broth for 6-8 hours, until turbidity was reached. The total DNA from the cell pellet was purified using Qiagen alkaline lysis and phenol chloroform method. The DNA was precipitated with isopropanol and resuspended in 20 µl dH₂O. A 2 µl aliquot of this DNA was transformed into *E. coli* XL-1 competent cells. A single colony from the transformation was selected and grown overnight in 3 ml LB +100
10 µg/ml ampicillin. The DNA was isolated using Qiagen columns. A positive clone was identified by digestion with the restriction enzyme *Bst*EII which cleaves within the gag gene as well as the plasmid backbone. The pre-plasmid clone is designated MRKpAdHVE3+CMV(no intron)-FLgag-bGHpA and is 37,498 bp in size.

15 F. Virus generation of an enhanced adenoviral construct – “MRK Ad5 HIV-1 gag”

MRK Ad5 HIV-1 gag contains the hCMV(no intron)-FLgag-bGHpA transgene inserted into the new E3+ adenovector backbone, MRKpAdHVE3, in the E1 parallel orientation. We have designated this adenovector MRK Ad5 HIV-1 gag. This construct was prepared as outlined below:

- 20 The pre-plasmid MRKpAdHVE3+CMV(no intron)-FLgag-bGHpA was digested with *Pac*I to release the vector backbone and 3.3 µg was transfected by the calcium phosphate method (Amersham Pharmacia Biotech.) in a 6 cm dish containing PER.C6® cells at ~60% confluence. Once CPE was reached (7-10 days), the culture was freeze/thawed three times and the cell debris pelleted. 1 ml of this cell lysate was
25 used to infect into a 6 cm dish containing PER.C6® cells at 80-90% confluence. Once CPE was reached, the culture was freeze/thawed three times and the cell debris pelleted. The cell lysate was then used to infect a 15 cm dish containing PER.C6® cells at 80-90% confluence. This infection procedure was continued and expanded at passage 6. The virus was then extracted from the cell pellet by CsCl method. Two
30 bandings were performed (3-gradient CsCl followed by a continuous CsCl gradient). Following the second banding, the virus was dialyzed in A105 buffer. Viral DNA was extracted using pronase treatment followed by phenol chloroform. The viral DNA was then digested with *Hind*III and radioactively labeled with [³³P]dATP. Following gel electrophoresis to separate the digestion products the gel was dried

down on Whatman paper and then subjected to autoradiography. The digestion products were compared with the digestion products from the pre-plasmid (that had been digested with *Pac1/HindIII* prior to labeling). The expected sizes were observed, indicating that the virus had been successfully rescued.

5

All viral constructs (adenovirus and poxvirus) were confirmed for Gag expression by Western blot analysis.

EXAMPLE 4

10

Immunization

Rhesus macaques were between 3-10 kg in weight. In all cases, the total dose of each vaccine was suspended in 1 mL of buffer. The macaques were anesthetized (ketamine/xylazine) and the vaccines were delivered intramuscularly ("i.m.") in 0.5-
15 mL aliquots into both deltoid muscles using tuberculin syringes (Becton-Dickinson, Franklin Lakes, NJ). Peripheral blood mononuclear cells (PBMC) were prepared from blood samples collected at several time points during the immunization regimen. All animal care and treatment were in accordance with standards approved by the Institutional Animal Care and Use Committee according to the principles set forth in
20 the *Guide for Care and Use of Laboratory Animals*, Institute of Laboratory Animal Resources, National Research Council.

EXAMPLE 5

25

ELISPOT Assay

The IFN- γ ELISPOT assays for rhesus macaques were conducted following a previously described protocol (Allen *et al.*, 2001 *J. Virol.* 75(2):738-749), with some modifications. For antigen-specific stimulation, a peptide pool was prepared from 20-
30 amino acid ("aa") peptides that encompass the entire HIV-1 gag sequence with 10-aa overlaps (Synpep Corp., Dublin, CA). To each well, 50 μ L of $2-4 \times 10^5$ peripheral blood mononuclear cells (PBMCs) were added. The cells were counted using Beckman Coulter Z2 particle analyzer with a lower size cut-off set at 80 femtoliters ("fL"). Either 50 μ L of media or the gag peptide pool at 8 μ g/mL concentration per peptide were added to the PBMC. The samples were incubated at 37°C, 5% CO₂ for
35 20-24 hrs. Spots were developed accordingly and the plates were processed using

custom-built imager and automatic counting subroutine based on the ImagePro platform (Silver Spring, MD). The counts were normalized to 10^6 cell input.

EXAMPLE 6

Anti-p24 ELISA

5 A modified competitive anti-p24 assay was developed using reagents from the Coulter p24 Antigen Assay kit (Beckman Coulter, Fullerton, CA). Briefly, to a 250- μ L serum sample, 20 μ L of Lyse Buffer and 15 μ L of p24 antigen (9.375 pg) from the
10 Coulter kit were added. After mixing, 200 μ L of each sample were added to wells coated with a mouse anti-p24 mAb from the Coulter kit and incubated for 1.5 hr at 37°C. The wells were then washed and 200 μ L of Biotin Reagent (polyclonal anti-p24-biotin) from the Coulter kit was added to each well. After a 1 hr, 37°C
15 incubation, detection was achieved using streptavidin-conjugated horseradish peroxidase and TMB substrate as described in the Coulter Kit. OD450nm values were recorded. A 7-point standard curve was generated using a serial 2-fold dilution of serum from an HIV-seropositive individual. The lower cut-off for the assay is arbitrarily set at 10 milli Merck units/mL (mMU/mL) defined by a dilution of the seropositive human serum. This cutoff falls at approximately 65% of the maximum
20 bound control signal which corresponds to that obtained with the diluent control only and with no positive analyte.

EXAMPLE 7

Intracellular Cytokine Staining

25 To 1 ml of 2×10^6 PBMC/mL in complete RPMI media (in 17x100mm round bottom polypropylene tubes (Sarstedt, Newton, NC)), anti-hCD28 (clone L293, Becton-Dickinson) and anti-hCD49d (clone L25, Becton-Dickinson) monoclonal antibodies were added to a final concentration of 1 μ g/mL. For gag-specific
30 stimulation, 10 μ L of the peptide pool (at 0.4 mg/mL per peptide) were added. The tubes were incubated at 37 °C for 1 hr., after which 20 μ L of 5 mg/mL of brefeldin A (Sigma) were added. The cells were incubated for 16 hours at 37 °C, 5% CO₂, 90% humidity. 4 mL cold PBS/2%FBS were added to each tube and the cells were pelleted for 10 min at 1200 rpm. The cells were re-suspended in PBS/2%FBS and
35 stained (30 min, 4 °C) for surface markers using several fluorescent-tagged mAbs: 20

5 μ L per tube anti-hCD3-APC, clone FN-18 (Biosource); 20 μ L anti-hCD8-PerCP, clone SK1 (Becton Dickinson); and 20 μ L anti-hCD4-PE, clone SK3 (Becton Dickinson). Sample handling from this stage was conducted in the dark. The cells were washed and incubated in 750 μ L 1xFACS Perm buffer (Becton Dickinson) for 10 minutes at room temperature. The cells were pelleted and re-suspended in PBS/2%FBS and 0.1 μ g of FITC-anti-hIFN- γ , clone MD-1 (Biosource) was added. After 30 minutes of incubation, the cells were washed and re-suspended in PBS. Samples were analyzed using all four color channels of the Becton Dickinson FACS Calibur instrument. To analyze the data, the low side- and forward-scatter lymphocyte population was initially gated and a common fluorescence cut-off for cytokine-positive events was used for both CD4⁺ and CD8⁺ populations, and for both mock and gag-peptide reaction tubes of a sample.

EXAMPLE 8

15 Results

A. Immunization Regimen

Cohorts of 3-6 rhesus macaques were immunized following homologous and heterologous prime-boost regimens involving MRKAd5 and MVA vectors expressing the same codon-optimized HIV-1 gag. The immunization schedule is described in Table 1.

Table 1

Group	Prime	Boost (month 6)
1	10e9 vp MRKAd5-HIVgag at week 0, 4	10e9 vp MRKAd5-HIVgag
2	10e9 pfu MVA-HIVgag at week 0, 4	10e9 pfu MVA-HIVgag
3	10e9 vp MRKAd5-HIVgag at week 0, 4	10e9 pfu MVA-HIVgag

25 B. T Cell Immune Responses

Vaccine-induced T cell responses against HIV-1 gag were quantified using IFN-gamma ELISPOT assay against a pool of 20-aa peptides that encompassed the entire protein sequence. The results are shown in Figures 5 and 6. They are expressed as the number of spot-forming cells (SFC) per million peripheral blood mononuclear cells (PBMCs) that responded to the peptide pool minus the mock control.

Figure 5 shows the T cell responses induced by (a) two priming immunizations with 10e9 vp MRKAd5 HIV-1 gag followed by a 10e9 vp MRKAd5 HIV-1 gag booster ("10e9 vp MRKAd5-10e9 vp MRKAd5"); (b) two priming doses of 10e9 pfu MVA HIV-1 gag and a single booster with 10e9 pfu MVA HIV-1 gag ("10e9 pfu MVA-10e9 pfu MVA"); or (c) two priming doses of 10e9 vp of MRKAd5 HIV-1 gag followed by a single booster shot with 10e9 pfu MVA HIV-1 gag ("10e9 vp MRKAd5-10e9 pfu MVA"). The rest period between last priming and booster doses varied from 20-23 weeks (20 for the MVA-MVA subjects; 22 for subjects 99D262, 99C117, and 99D227 of the MRKAd5-MRKAd5 group; and 23 for the remaining subjects). Administration of the same dose of MRKAd5 HIV-1 gag at approximately month 6 resulted in slight increases compared to the levels just prior to the boost; the post-boost levels were largely comparable to if not weaker than the peak levels before the boost. This is possibly due to the presence of neutralizing immunity generated against the vector by the first two immunizations. The responses after the boost did not surpass 500 gag-specific T cells per 10e6 PBMC, with a mean of 275 SFC/10e6 PBMC for all 6 monkeys. Monkeys given three of 10e9 pfu MVA HIV-1 gag (at 0, 1, 6 months) exhibited very weak HIV-specific T cells responses not exceeding 100 SFC/10e6 PBMC. In contrast, when both modalities are combined in which animals were given two priming doses of 10e9 vp MRKAd5 HIV-1 gag and a single booster shot of 10e9 pfu MVA HIV-1 gag, the levels of gag-specific T cells increased to peak responses above 1200 SFC/10e6 PBMC for all 3 monkeys. The property of MVA HIV-1 gag to boost effectively MRKAd5-gag-primed immune responses is very striking considering that MVA HIV-1 gag is a rather poor immunogen; it also offers a great advantage compared to boosting with the same MRKAd5 HIV-1 gag. The ability of poxvirus vector to boost primed responses was also evident using a lower priming dose of 10⁷ vp of MRKAd5 HIV-1 gag (Figure 6).

PBMCs from the vaccinees of the heterologous MRKAd5 prime-MVA boost regimen were analyzed for intracellular IFN- γ staining after the priming immunizations (week 13) and after the booster immunizations (wk 31). The assay provided information on the relative amounts of CD4⁺ and CD8⁺ gag-specific T cells in the peripheral blood (Table 2). The results indicated that heterologous prime-boost immunization approach was able to elicit in rhesus macaques both HIV-specific CD4⁺ and CD8⁺ T cells.

Table 2

Prime	Boost	ID	Post Prime		Post Boost	
			%CD4+	%CD8+	%CD4+	%CD8+
MRKAd5-HIVgag	MVA-HIVgag	99D241	0.00*	0.13	0.08**	0.37**
10 ⁹ vp	10 ⁹ pfu	99D244	0.02	0.09	0.25	0.92
wk 0, 4	wk 27	99D252	0.04	0.08	0.43	0.13

Numbers reflect the percentages of circulating CD3+ lymphocytes that are either gag-specific CD4+ or gag-specific CD8+ cells. Mocks values have been subtracted.

*No detectable antigen-specific CD4+ T cells above background

**Collected at wk 35 instead of wk 31

C. Humoral Immune Responses

The p24-specific antibody titers were determined for each animal at several time points. The geometric mean titers for each cohort were calculated and shown in Figure 10. Two doses of MRKAd5 HIV-1 gag were able to induce moderate levels of anti-p24 antibodies (about 1000 mMU/mL) whereas two doses of MVA did not appear to induce any detectable level of anti-p24 antibodies. Administration of MVA HIV-1 gag boosted the humoral immune responses primed by MRKAd5 HIV-1 gag by about 6-fold (to about 7000 mMU/mL). This booster effect is similar to that elicited by a 10⁹ vp dose of MRKAd5 HIV-1 gag. However, the booster effect seen in these animals with 10⁹ vp MRKAd5 HIV-1 gag is expected to be lower if the subjects have higher levels of Ad5-directed neutralizing activity due to anamnestic responses to the first two MRKAd5 doses. The booster effect of MVA HIV-1 gag, on the other hand, would not be affected by any pre-existing neutralizing titers directed at Ad5.

EXAMPLE 9

Immunization Regime Using Replication-Proficient Vaccinia Virus

BALB/c mice were vaccinated intramuscularly with one of the following immunization regimes: (1) one priming dose of 5x10⁸ vp Ad5-gag (the adenoviral vector disclosed in PCT International Application No. PCT/US00/18332 which is hereby incorporated by reference); (2) one priming dose of 5x10⁸ vp Ad5-gag followed by one boosting dose of 5x10⁶ pfu vaccinia-gag; or (3) one priming dose of 5x10⁶ pfu vaccinia-gag. The response in totally naïve animals was also assayed. Figure 7 shows the mock-corrected frequencies of T cells specific for a defined gag CD8+ epitope in BALB/c mice (AMQMLKETI). The results indicate that the Ad5-

primed immune responses (about 300 per million) were boosted significantly by administration of vaccinia-gag (to about 1400 per million).

While this virus is replication-proficient and hence not suitable for use in the methods of the instant invention (absent modification), Applicants believe that the example serves to demonstrate with a different poxvirus strain how poxvirus very effectively boosts an adenovirus-primed response.

The mice in this example, one will note, were only primed once. Those of skill in the art will appreciate that due consideration must be given to the general observation that these smaller animal systems require less number of immunizations and/or smaller doses to prime the immune compared to larger non-human primates.

EXAMPLE 10

Recombinant ALVAC Construction And Purification

Recombinant ALVAC constructs expressing the codon-optimized human HIV-1 gag open reading frame (SEQ ID NO: 1) were generated in accordance with basic procedure well understood and appreciated in the art; *see, e.g.*, U.S. Patent Nos. 5,863,542 and 5,766,598. The procedure generally entails the placement of a gene sequence of interest (herein, SEQ ID NO: 1) ligated or operatively linked to a promoter of interest (e.g., H6 vaccinia virus early promoter) into a plasmid construct containing DNA homologous to a section of DNA within the poxvirus where insertion is desired. As previously mentioned, this site should not contain an essential locus. Following this first step(s), the resulting plasmid construct is amplified by growth within *E. coli* bacteria and isolated. The isolated plasmid containing the insert of interest is then transfected into a cell culture, *e.g.*, chick embryo fibroblasts, along with the pox virus of interest (herein, ALVAC). The recombinant viruses are then selected and purified by serial rounds of plaque purification.

EXAMPLE 11

Generation of Adenoviral Serotype 6 Vector Constructs

A. Construction of Ad6 Pre-Adenovirus Plasmid

An Ad6 based pre-adenovirus plasmid which could be used to generate first generation Ad6 vectors was constructed taking advantage of the extensive sequence

homology (approx. 98%) between Ad5 and Ad6. Homologous recombination was used to clone wtAd6 sequences into a bacterial plasmid.

The general strategy used to recover pAd6E1-E3+ as a bacterial plasmid is illustrated in Figure 11. Cotransformation of BJ 5183 bacteria with purified wt Ad6 viral DNA (ATCC Accession No. VR-6) and a second DNA fragment termed the Ad5 ITR cassette resulted in the circularization of the viral genome by homologous recombination. The ITR cassette contains sequences from the right (bp 33798 to 35935) and left (bp 1 to 341 and bp 3525 to 5767) end of the Ad5 genome separated by plasmid sequences containing a bacterial origin of replication and an ampicillin resistance gene. The ITR cassette contains a deletion of E1 sequences from Ad5 342 to 3524. The Ad5 sequences in the ITR cassette provide regions of homology with the purified Ad6 viral DNA in which recombination can occur.

Potential clones were screened by restriction analysis and one clone was selected as pAd6E1-E3+. This clone was then sequenced in its entirety. pAd6E1-E3+ contains Ad5 sequences from bp 1 to 341 and from bp 3525 to 5548, Ad6 bp 5542 to 33784, and Ad5 bp 33967 to 35935 (bp numbers refer to the wt sequence for both Ad5 and Ad6). pAd6E1-E3+ contains the coding sequences for all Ad6 virion structural proteins which constitute its serotype specificity.

20 B. Construction of an Ad6 Pre-Adenovirus Plasmid containing the HIV-1 gag gene

(1) Construction of Adenoviral Shuttle Vector:

The shuttle plasmid MRKpdelE1(Pac/pIX/pack450)+CMVminFL-gag-BGHpA was constructed by inserting a synthetic full-length codon-optimized HIV-1 gag gene into MRKpdelE1(Pac/pIX/pack450)+CMVmin+BGHpA(str.). MRKpdelE1(Pac/pIX/pack450)+CMVmin+BGHpA(str.) contains Ad5 sequences from bp 1 to 5792 with a deletion of E1 sequences from bp 451 to 3510. The HCMV promoter and BGH pA were inserted into the E1 deletion in an E1 parallel orientation with a unique BglII site separating them. The synthetic full-length codon-optimized HIV-1 gag gene was obtained from plasmid pV1Jns-HIV-FLgag-opt by BglII digestion, gel purified and ligated into the BglII restriction endonuclease site in MRKpdelE1(Pac/pIX/pack450)+CMVmin+BGHpA(str.), generating plasmid MRKpdelE1(Pac/pIX/pack450)+CMVminFL-gag-BGHpA. The genetic structure of MRKpdelE1(Pac/pIX/pack450)+CMVminFL-gag-BGHpA was verified by PCR, restriction enzyme and DNA sequence analyses.

(2) Construction of pre-adenovirus plasmid:

Shuttle plasmid MRKpdeIE1(Pac/pIX/pack450)+CMVminFL-gag-BGHpA was digested with restriction enzymes *Pac*I and *Bst*1107I and then co-transformed into *E. coli* strain BJ5183 with linearized (*Cla*I-digested) adenoviral backbone plasmid, pAd6E1-E3+. The genetic structure of the resulting pMRKAd6gag was verified by restriction enzyme and DNA sequence analysis. The vectors were transformed into competent *E. coli* XL-1 Blue for large-scale production. The recovered plasmid was verified by restriction enzyme digestion and DNA sequence analysis, and by expression of the gag transgene in transient transfection cell culture. pMRKAd6gag contains Ad5 bp 1 to 450 and from bp 3511 to 5548, Ad6 bp 5542 to 33784, and Ad5 bp 33967 to 35935 (bp numbers refer to the wt sequence for both Ad5 and Ad6). In the plasmid the viral ITRs are joined by plasmid sequences that contain the bacterial origin of replication and an ampicillin resistance gene.

C. Generation of research-grade recombinant MRKAd6gag

To prepare virus for pre-clinical immunogenicity studies, the pre-adenovirus plasmid pMRKAd6gag was rescued as infectious virions in PER.C6[®] adherent monolayer cell culture. To rescue infectious virus, 10 µg of pMRKAd6gag was digested with restriction enzyme *Pac*I (New England Biolabs) and transfected into a 6 cm dish of PER.C6[®] cells using the calcium phosphate co-precipitation technique (Cell Pfect Transfection Kit, Amersham Pharmacia Biotech Inc.). *Pac*I digestion releases the viral genome from plasmid sequences allowing viral replication to occur after entry into PER.C6[®] cells. Infected cells and media were harvested after complete viral cytopathic effect (CPE) was observed. The virus stock was amplified by multiple passages in PER.C6[®] cells. At the final passage virus was purified from the cell pellet by CsCl ultracentrifugation. The identity and purity of the purified virus was confirmed by restriction endonuclease analysis of purified viral DNA and by gag ELISA of culture supernatants from virus infected mammalian cells grown in vitro. For restriction analysis, digested viral DNA was end-labeled with P³³-dATP, size-fractionated by agarose gel electrophoresis, and visualized by autoradiography.

All viral constructs were confirmed for Gag expression by Western blot analysis.

EXAMPLE 12

Immunization

Rhesus macaques were between 3-10 kg in weight. In all cases, the total dose
5 of each vaccine was suspended in 1 mL of buffer. The macaques were anesthetized
(ketamine/xylazine) and the vaccines were delivered intramuscularly ("i.m.") in 0.5-
mL aliquots into both deltoid muscles using tuberculin syringes (Becton-Dickinson,
Franklin Lakes, NJ). Peripheral blood mononuclear cells (PBMC) were prepared
10 from blood samples collected at several time points (typically, four week intervals)
during the immunization regimen. All animal care and treatment were in accordance
with standards approved by the Institutional Animal Care and Use Committee
according to the principles set forth in the *Guide for Care and Use of Laboratory*
Animals, Institute of Laboratory Animal Resources, National Research Council.

15

EXAMPLE 13

ELISPOT Assay

The IFN- γ ELISPOT assays for rhesus macaques were conducted following a
previously described protocol (Allen *et al.*, 2001 *J. Virol.* 75(2):738-749; Casimiro *et*
20 *al.*, 2002 *J. Virol.* 76:185-94), with some modifications. For antigen-specific
stimulation, a peptide pool was prepared from 20-amino acid ("aa") peptides that
encompass the entire HIV-1 gag sequence with 10-aa overlaps (Synpep Corp., Dublin,
CA). To each well, 50 μ L of $2-4 \times 10^5$ peripheral blood mononuclear cells (PBMCs)
were added. The cells were counted using a Beckman Coulter Z2 particle analyzer
25 with a lower size cut-off set at 80 femtoliters ("fL"). Either 50 μ L of media or the gag
peptide pool at 8 μ g/mL concentration per peptide were added to the PBMC. The
samples were incubated at 37°C, 5% CO₂ for 20-24 hrs. Spots were developed
accordingly and counted under microscope. The counts were normalized to 10^6 cell
input.

30

EXAMPLE 14

Intracellular Cytokine Staining

To 1 ml of 2×10^6 PBMC/mL in complete RPMI media (in 17x100mm round
35 bottom polypropylene tubes (Sarstedt, Newton, NC)), anti-hCD28 (clone L293,

Becton-Dickinson) and anti-hCD49d (clone L25, Becton-Dickinson) monoclonal antibodies were added to a final concentration of 1 $\mu\text{g/mL}$. For gag-specific stimulation, 10 μL of the peptide pool (at 0.4 mg/mL per peptide) were added. The tubes were incubated at 37 °C for 1 hour, after which 20 μL of 5 mg/mL of brefeldin A (Sigma) were added. The cells were incubated for 16 hours at 37 °C, 5% CO₂, 90% humidity. 4 mL cold PBS/2%FBS were added to each tube and the cells were pelleted for 10 minutes at 1200 rpm. The cells were re-suspended in PBS/2%FBS and stained (30 minutes, 4 °C) for surface markers using several fluorescent-tagged mAbs: 20 μL per tube anti-hCD3-APC, clone FN-18 (Biosource); 20 μL anti-hCD8-PerCP, clone SK1 (Becton Dickinson); and 20 μL anti-hCD4-PE, clone SK3 (Becton Dickinson). Sample handling from this stage was conducted in the dark. The cells were washed and incubated in 750 μL 1xFACS Perm buffer (Becton Dickinson) for 10 minutes at room temperature. The cells were pelleted and re-suspended in PBS/2%FBS and 0.1 μg of FITC-anti-hIFN- γ , clone MD-1 (Biosource) was added. After 30 minutes of incubation, the cells were washed and re-suspended in PBS. Samples were analyzed using all four color channels of the Becton Dickinson FACS Calibur instrument. To analyze the data, the low side- and forward-scatter lymphocyte population was initially gated and a common fluorescence cut-off for cytokine-positive events was used for both CD4⁺ and CD8⁺ populations, and for both mock and gag-peptide reaction tubes of a sample.

EXAMPLE 15

Results

25 A. Immunization Regimen

A cohort of four (4) macaques were given three (3) doses of either MRKAd5-HIVgag or MRKAd6-HIVgag at weeks 0, 4, 26. At week fifty-six (56), a booster shot of 10⁸ pfu of ALVAC-HIVgag was delivered intramuscularly. For comparison, a separate cohort of three (3) monkeys were given three (3) doses of the same ALVAC-HIVgag (10⁹ pfu) at weeks 0, 4, 27. All viral vectors expressed the same codon-optimized HIV-1 gag. The immunization schedule is described in Table 3.

Table 3

Grp	Monkey ID	Vaccine 1	Vaccine 2
1	99C117	10 ⁹ vp MRKAd5-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56
	99D021	10 ⁷ vp MRKAd5-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56
	99D126	10 ⁹ vp MRKAd6-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56
	99D147	10 ⁷ vp MRKAd6-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56
2	127F, 57T, 84TX	10 ⁹ pfu ALVAC-HIVgag at wk 0, 4, 27	none

B. T Cell Immune Responses

Vaccine-induced T cell responses against HIV-1 gag were quantified using an IFN-gamma ELISPOT assay against a pool of 20-aa peptides that encompassed the entire protein sequence. The results are shown in Figure 12. They are expressed as the number of spot-forming cells (SFC) per million peripheral blood mononuclear cells (PBMCs) that responded to the peptide pool minus the mock control.

Figure 12 shows that 10⁷-10⁹ vp dose of MRKAd5-HIVgag or MRKAd6-HIVgag induced levels of gag-specific T cell responses not exceeding 600 SFC/10⁶ PBMC. Three out of the four animals had levels below 300 SFC/10⁶ PBMC after two doses of the adenoviral-based vaccine. At the time of the ALVAC booster immunization which is about half a year since the last adenovirus dose, antigen-specific responses remained detectable ranging from 10-114 SFC/10⁶ PBMC in these animals. However, administration of the ALVAC resulted in about 10-80-fold enhancement in T cell responses when compared to the levels at the time of the booster. These results are very surprising given that ALVAC is intrinsically a rather weak vaccine vector for inducing primary T cell immune response in macaques. Three monkeys that were given multiple immunizations of ALVAC-HIVgag at an even higher dose level (10⁹ pfu) exhibited very weak responses to the antigen (less than 100 SFC/10⁶ PBMC) (Figure 12).

It is not believed that a fourth immunization with the same adenovirus at an equivalent dose level such as that provided the first three (3) times would be capable of eliciting these large responses because of the potentially significant pre-existing anti-adenovirus immunity generated by the first three (3) doses. Also note that the third adenovirus dose in these monkeys yielded levels that do not even compare to the levels seen following the ALVAC booster. These results clearly show that while ALVAC-based vectors are weak inducers of primary immune response they serve as excellent boosters of existing immune response to an HIV antigen. It also illustrates that a synergy exists between MRKAd-based vectors and ALVAC.

PBMCs from the vaccinees of the heterologous MRKAd5/MRKAd6-ALVAC boost regimens were analyzed for intracellular IFN- γ staining after the boosting immunization (week 60). The assay results provide information on the relative amounts of CD4⁺ and CD8⁺ gag-specific T cells in the peripheral blood (Table 4).

- 5 The results indicate that the heterologous prime-boost immunization approach was able to elicit both HIV-specific CD4⁺ and CD8⁺ T cells in rhesus macaques.

Table 4

Monkey ID	Vaccine 1	Vaccine 2	Gag-Specific (Wk 60)	
			%CD4	%CD8
99C117	10 ⁹ vp MRKAd5-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56	0.12	0.26
99D021	10 ⁷ vp MRKAd5-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56	0.08	0.70
99D126	10 ⁹ vp MRKAd6-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56	0.06	0.35
99D147	10 ⁷ vp MRKAd6-HIVgag at wk 0, 4, 26	10 ⁸ pfu ALVAC-HIVgag at wk 56	0.07	0.23

- 10 Numbers reflect the percentages of circulating CD3⁺ lymphocytes that are either gag-specific CD4⁺ or gag-specific CD8⁺ cells. Mocks values (less than 0.02%) have been subtracted.

EXAMPLE 16

Immunization and Results

15

A. Immunization

- Rhesus macaques were between 3-10 kg in weight. In all cases, the total dose of each vaccine was suspended in 1 mL of buffer. The macaques were anesthetized (ketamine/xylazine) and the vaccines were delivered i.m. in 0.5-mL aliquots into both deltoid muscles using tuberculin syringes (Becton-Dickinson, Franklin Lakes, NJ). Peripheral blood mononuclear cells (PBMC) were prepared from blood samples collected at several time points during the immunization regimen. All animal care and treatment were in accordance with standards approved by the Institutional Animal Care and Use Committee according to the principles set forth in the *Guide for Care and Use of Laboratory Animals*, Institute of Laboratory Animal Resources, National Research Council.
- 20
- 25

B. ELISPOT Assay

- The IFN- γ ELISPOT assays for rhesus macaques were conducted following a previously described protocol (Allen et al., 2001 *J. Virol.* 75(2):738-749), with some modifications. For antigen-specific stimulation, a peptide pool was prepared from 20-aa peptides that encompass the entire HIV-1 gag sequence with 10-aa overlaps (Synpep Corp., Dublin, CA). To each well, 50 μ L of 2-4 x 10⁵ peripheral
- 30

blood mononuclear cells (PBMCs) were added; the cells were counted using Beckman Coulter Z2 particle analyzer with a lower size cut-off set at 80 fL. Either 50 μ L of media or the gag peptide pool at 8 μ g/mL concentration per peptide were added to the PBMC. The samples were incubated at 37°C, 5% CO₂ for 20-24 hrs. Spots
5 were developed accordingly and the plates were processed using custom-built imager and automatic counting subroutine based on the ImagePro platform (Silver Spring, MD); the counts were normalized to 10⁶ cell input.

C. Intracellular Cytokine Staining

To 1 ml of 2 x 10⁶ PBMC/mL in complete RPMI media (in 17x100mm
10 round bottom polypropylene tubes (Sarstedt, Newton, NC)), anti-hCD28 (clone L293, Becton-Dickinson) and anti-hCD49d (clone L25, Becton-Dickinson) monoclonal antibodies were added to a final concentration of 1 μ g/mL. For gag-specific stimulation, 10 μ L of the peptide pool (at 0.4 mg/mL per peptide) were added. The tubes were incubated at 37 °C for 1 hr., after which 20 μ L of 5 mg/mL of brefeldin A
15 (Sigma) were added. The cells were incubated for 16 hr at 37 °C, 5% CO₂, 90% humidity. 4 mL cold PBS/2%FBS were added to each tube and the cells were pelleted for 10 min at 1200 rpm. The cells were re-suspended in PBS/2%FBS and stained (30 min, 4 °C) for surface markers using several fluorescent-tagged mAbs: 20 μ L per tube anti-hCD3-APC, clone FN-18 (Biosource); 20 μ L anti-hCD8-PerCP,
20 clone SK1 (Becton Dickinson); and 20 μ L anti-hCD4-PE, clone SK3 (Becton Dickinson). Sample handling from this stage was conducted in the dark. The cells were washed and incubated in 750 μ L 1xFACS Perm buffer (Becton Dickinson) for 10 min at room temperature. The cells were pelleted and re-suspended in PBS/2%FBS and 0.1 μ g of FITC-anti-hIFN- γ , clone MD-1 (Biosource) was added.
25 After 30 min incubation, the cells were washed and re-suspended in PBS. Samples were analyzed using all four color channels of the Becton Dickinson FACSCalibur instrument. To analyze the data, the low side- and forward-scatter lymphocyte population was initially gated; a common fluorescence cut-off for cytokine-positive events was used for both CD4⁺ and CD8⁺ populations, and for both mock and gag-
30 peptide reaction tubes of a sample.

D. Results

Cohorts of 4 monkeys were given at wk 0 one of the following booster vaccines: (A) ALVAC vcp205, 10⁸ pfu; (B) ALVAC vcp205, 10⁷ pfu; (C) ALVAC HIV-1 gag, 10⁸ pfu; (D) ALVAC HIV-1 gag, 10⁷ pfu, or (E) MRKAd5

HIV-1 gag, 10^9 vp. ALVAC vcp205 encodes the gene for HIV-1 III_B gag. ALVAC HIV-1 gag encodes the codon-optimized HIV-1 CAM-1 gag. The animals prior to this immunization had received 3 previous doses of at least 10^9 vp Ad5 HIV-1 gag. The last immunization with Ad5 HIV-1 gag was given more than a year prior. The neutralization titers to Ad5 vector were measured in all animals just prior to wk 0 time point. Vaccine-induced T cell responses against HIV-1 gag were quantified using IFN- γ ELISPOT assay against a pool of 20-aa peptides that encompassed the entire protein sequence. The results are shown in Table 6; they are expressed as the number of spot-forming cells (SFC) per million peripheral blood mononuclear cells (PBMCs) that responded to the peptide pool minus the mock control.

Table 5

Grp	Booster, Wk 0	Monk ID#	Diff. Days ^a	Ad5 neut ^b	IFN- γ ELISPOT, SFC/ 10^6 PBMC					
					Peak, Prime ^c		T=0 Wk		T=2 Wk	
					Mock	Gag	Mock	Gag	Mock	Gag
1	ALVAC vcp205 10^8 pfu	99C069	617	1065	0	116	0	40	1	584
		98X012	848	457	1	121	3	8	3	843
		CB4B	695	285	10	330	3	59	15	865
		98X011	695	192	1	361	10	43	3	1205
		<i>Mean^d</i>	<i>714</i>	<i>404</i>		<i>200</i>		<i>25</i>		<i>841</i>
2	ALVAC HIV-1 gag 10^8 pfu	99D193	617	291	4	146	0	34	10	1648
		CD1V	617	222	16	251	0	18	13	826
		CB56	617	171	0	265	1	18	5	734
		97N144	848	947	5	373	3	159	0	1838
		<i>Mean^d</i>	<i>675</i>	<i>320</i>		<i>239</i>		<i>35</i>		<i>1156</i>
3	MRKAd5-gag 10^9 vp	101H	695	490	0	115	3	58	1	696
		99C213	617	98	11	226	3	14	0	420
		99D137	617	754	8	268	4	49	0	1220
		105F	695	507	5	380	15	76	13	163
		<i>Mean^d</i>	<i>656</i>	<i>368</i>		<i>222</i>		<i>36</i>		<i>480</i>

^aDifference in days between the day of ALVAC boost and the third Ad5 vaccination

^bNeutralization titers 1 month prior to boost; reported are geometric means of up to 3 measurements

^cPeak anti-gag T cell responses (SFC/ 10^6 PBMC) during Ad5 priming vaccinations

^dArithmetic means for difference in days; geometric means for Ad5 neut titers; mock-corrected gag T cell responses.

Table 5 shows the T cell responses induced using a homologous boost with MRKAd5-gag or with ALVAC vector. On the basis of the ELISPOT results, it appears that the boosting with ALVAC, specifically ALVAC HIV-1 gag, provides greater booster responses than the MRKAd5-gag.

PBMCs from the vaccinees were analyzed for intracellular IFN- γ staining 2 wks after the booster immunization. This assay provided information on the amounts of CD4⁺ and CD8⁺ gag-specific T cells in the peripheral blood (Table 6).

- The results indicated that heterologous prime-boost immunization approach was able to elicit in rhesus macaques both HIV-specific CD4+ and CD8+ T cells. It also indicates that the ALVAC booster induces as much gag-specific CD8+ T cells as MRKAd5gag. However, the ALVAC booster induces higher levels of helper responses than MRKAd5-gag. On the basis of total antigen-specific CD3+ T cells induced as measured by this assay, the ALVAC HIV-1 gag booster shows a statistically significant 6-fold improvement ($P=0.004$) than the MRKAd5-gag booster.

Table 6

Group	Vaccine	Monk #	CD3+CD4+IFN γ + per 10 ⁶ Lymp ^a		CD3+CD8+IFN γ + per 10 ⁶ Lymp ^b		%CD3+CD8+ ^c	Total CD3+ 10 ⁶ Lymp ^d
			Mock	Gag	Mock	Gag		
1	ALVAC gag vcp205 10 ⁸ pfu	99C069	129	945	64	482	33.8	1234
		98X012	17	1160	50	368	21.7	1460
		CB4B	82	1507	100	1203	43.6	2528
		98X011	37	1833	74	656	24.5	2377
		<i>Mean^e</i>		1243		540		1783
2	ALVAC HIV-1 gag 10 ⁸ pfu	99D193	87	6744	104	9479	58.5	16032
		CD1V	0	1877	72	702	25.1	2507
		CB56	16	1123	63	2148	65.3	3192
		97N144	60	2231	77	5323	70.7	7417
		<i>Mean^e</i>		2341		2835		5176
3	MRKAd5 HIV-1 gag 10 ⁹ vp	101H	62	268	71	643	73.5	778
		99C213	19	245	46	538	68.4	718
		99D137	25	158	58	3592	96.4	3666
		105F	34	218	17	218	52.2	384
		<i>Mean^e</i>		184		668		852

^aNumber of IFN- γ producing CD3+CD4+ cells per million lymphocytes

^bNumber of IFN- γ producing CD3+CD8+ cells per million lymphocytes

^cPercentage of Gag-Specific T cells that are CD3+CD8+

^dSum of IFN- γ producing CD3+CD4+ plus CD3+CD8+ cells per million lymphocytes

^eGeometric means of mock-corrected values

EXAMPLE 17

Immunization Regimen

- Cohorts of 3-6 rhesus macaques will be immunized in accordance with the following homologous and heterologous prime-boost immunization schedule (Table 7), involving Ad5-gag, -pol, and -nef vectors expressing codon-optimized HIV-1 gag, pol and nef, respectively, and ALVAC-gag, pol, nef expressing all three genes in one virus under separate promoter controls. The total dose of each vaccine will be suspended in approximately 1 mL of buffer. The macaques will be anesthetized (ketamine/xylazine) and the vaccines will be delivered intramuscularly ("i.m.") in 0.5-mL aliquots into both deltoid muscles using tuberculin syringes (Becton-Dickinson,

- Franklin Lakes, NJ). Peripheral blood mononuclear cells (PBMC) will be prepared from blood samples collected at several time points during the immunization regimen. All animal care and treatment will be in accordance with standards approved by the Institutional Animal Care and Use Committee according to the principles set forth in the *Guide for Care and Use of Laboratory Animals*, Institute of Laboratory Animal Resources, National Research Council.

Table 7.

Group	Prime	Boost
1	10 ⁹ vp/vector Ad5-gag, -pol, -nef at week 0,4	10 ⁸ pfu ALVAC-gag,pol,nef
2	10 ⁷ vp/vector Ad5-gag, -pol, -nef at week 0,4	10 ⁸ pfu ALVAC-gag,pol,nef
3	10 ⁸ pfu ALVAC-gag,pol,nef at week 0,4	10 ⁷ vp/vector Ad5-gag, -pol, -nef
4	10 ⁹ vp/vector Ad5-gag, -pol, -nef at week 0,4	10 ⁹ vp/vector Ad5-gag, -pol, -nef
5	10 ⁷ vp/vector Ad5-gag, -pol, -nef at week 0,4	10 ⁷ vp/vector Ad5-gag, -pol, -nef
6	10 ⁸ pfu ALVAC-gag,pol,nef at week 0,4	10 ⁸ pfu ALVAC-gag,pol,nef

10

EXAMPLE 18**SIV Challenge Experiment**

- Cohorts of 3-6 monkeys will be immunized in accordance with the following heterologous prime-boost immunization schedule (Table 8), involving Ad5-SIV-gag, -pol, and -nef vectors expressing codon-optimized SIV gag, pol and nef, respectively, and ALVAC-SIV gag, pol, nef expressing all three genes in one virus under separate promoter controls. The animals will be pre-screened and distributed for the presence of mamuA01 allele. The total dose of each vaccine will be suspended in approximately 1 mL of buffer. The macaques will be anesthetized (ketamine/xylazine) and the vaccines will be delivered intramuscularly ("i.m.") in 0.5-mL aliquots into both deltoid muscles using tuberculin syringes (Becton-Dickinson, Franklin Lakes, NJ). Peripheral blood mononuclear cells (PBMC) will be prepared from blood samples collected at several time points during the immunization regimen to monitor for SIV-specific T cell responses. After the ALVAC booster, animals will

be given systemic inoculation of SIVmac239 strain. Animals will be monitored for both virological (i.e., viral loads) and immune parameters (e.g., virus-specific T cell responses, CD4 counts, and lymphoid structures). All animal care and treatment will be in accordance with standards approved by the Institutional Animal Care and Use

- 5 Committee according to the principles set forth in the *Guide for Care and Use of Laboratory Animals*, Institute of Laboratory Animal Resources, National Research Council.

Table 8.

Monkey	Prime	Boost	Challen
MamuA01+	10 ¹¹ vp/vector Ad5-SIVgag, -SIVpol, -SIVnef at week 0,4	10 ⁸ pfu ALVAC-SIVgag,pol,nef at week 24	SIVmac at week
MamuA01+	None	None	SIVmac at week
MamuA01-	10 ¹¹ vp/vector Ad5-SIVgag, -SIVpol, -SIVnef at week 0,4	10 ⁸ pfu ALVAC-SIVgag,pol,nef at week 24	SIVmac at week
MamuA01-	None	None	SIVmac at week

10